

1 **Title:** Promises and challenges of eco-physiological genomics in the field: tests of drought  
2 responses in switchgrass.

3 **Running Title:** Physiological genomics of drought in switchgrass.

4

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20 authors. T.E.J., D.B.L., M.J.A., S.H.T., J.B., C.V.H. and P.A.F. designed and conducted the field  
21 experiment and collected physiology data. J.T.L. and S.S. conducted statistical and bioinformatic  
22 analyses. E.V.S. and J.D.P.M. conducted the laboratory sample preparation.

23 **One-sentence summary:** Physiological and gene expression analyses across field and  
24 greenhouse experiments highlight that diverse gene expression patterns can produce  
25 physiologically similar responses to soil water deficits.

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34

35 **ABSTRACT**

36 Identifying the physiological and genetic basis of stress tolerance in plants has proven to be  
37 critical to understanding adaptation in both agricultural and natural systems. However, many  
38 discoveries were initially made in the controlled conditions of greenhouses or laboratories, not in  
39 the field. To test the comparability of drought responses across field and greenhouse  
40 environments, we undertook three independent experiments using the switchgrass reference  
41 genotype Alamo AP13. We analyzed physiological and gene-expression variation across four  
42 locations, two sampling times and three years. Relatively similar physiological responses and  
43 expression coefficients of variation across experiments masked highly dissimilar gene expression  
44 responses to drought. Critically, a drought experiment utilizing small pots in the greenhouse  
45 elicited nearly identical physiological changes as an experiment conducted in the field, but an  
46 order of magnitude more differentially expressed genes. However, we were able to define a suite  
47 of several hundred genes that were differentially expressed across all experiments. This list was  
48 strongly enriched in photosynthesis, water status and reactive oxygen species responsive genes.  
49 The strong across-experiment correlations between physiological plasticity—but not differential  
50 gene expression—highlight the complex and diverse genetic mechanisms that can produce  
51 phenotypically similar responses to various soil water deficits.

52

53 **INTRODUCTION**

54 Crop productivity and wild plant distributions are governed by the availability of soil moisture  
55 (Axelrod, 1972; Boyer, 1982; Ciais et al., 2005). The impact of drought and soil water deficit in  
56 agriculture is estimated to be the largest abiotic determinant of yield (Boyer, 1982; Araus, 2002),  
57 while drought is also considered a primary cause of speciation and adaptation in nature (Stebbins,  
58 1952). Dehydration avoidance and other drought adaptive strategies permit plants to survive or  
59 maintain growth during periodic droughts (Blum, 1996; Chaves et al., 2003; Chaves, 2004).  
60 Specifically, phenotypic plasticity of stomatal conductance, water foraging and growth traits  
61 (among many others) may effectively maintain homeostasis of leaf water potential despite soil  
62 water deficits.

63 Leaf water potential is a bellwether of the physiological impact of water deficit (Jones, 2007).  
64 Under drought, decreasing water availability results in reduced leaf water potentials and a  
65 sequence of physiological responses including reduced photosynthesis, growth rate and  
66 ultimately, fitness (Taiz and Zeiger, 2014). Plants therefore seek to maintain homeostasis of leaf  
67 water potential, with the highest (least negative) values supporting the most efficient functioning  
68 of photosynthesis and other metabolic processes in most species (Lawlor and Fock, 1978; Turner  
69 and Begg, 1981; Kramer and Boyer, 1995; Cornic and Massacci, 1996; Jones, 2007). Plants that  
70 exhibit dehydration avoidance strategies compensate for soil water deficit through phenotypic  
71 plasticity of gene expression (Verslues et al., 2006; DesMarais and Juenger, 2010; DesMarais et  
72 al., 2013; Lovell et al., 2015) and downstream physiological phenotypes (Levitt, 1980), among  
73 others.

74 To understand plant stress responses, it is critical to determine the physiological and genetic  
75 underpinnings of drought adaptation in both field and laboratory conditions (Travers et al., 2007;  
76 Gaudin et al., 2012). A common finding among such studies is that physiological and gene  
77 expression responses to drought vary considerably depending on the severity and temporal  
78 dynamics of drying soil (Chaves et al., 2003; Barker et al., 2005; Malmberg et al., 2005; Mittler,  
79 2006; Mishra et al., 2012). Natural soil moisture variation, which has shaped adaptive responses  
80 to drought in wild populations, is not necessarily recapitulated by controlled (often, “shock”)  
81 laboratory experiments. For example, single abiotic stresses rarely occur in isolation in the field  
82 (Mittler, 2006). Instead, wild and crop plants respond to the combination of diverse stressors such

83 as drought, heat and salinity simultaneously and at both molecular (e.g. Rizhsky et al., 2002;  
84 Rizhsky et al., 2004; Suzuki et al., 2005) and physiological (e.g. Heyne and Brunson, 1940;  
85 Craufurd and Peacock, 1993; Machado and Paulsen, 2001) levels. Therefore, inquiries into  
86 evolved plant stress responses are perhaps best served by experimental conditions that emulate  
87 selective agents in the field. Given that the extent and severity of stress causes qualitatively  
88 different physiological responses, it is not necessarily surprising that several studies have found  
89 relatively weak genetic correlations between laboratory phenotypes and those collected in the  
90 field (e.g. Weinig et al., 2002; Malmberg et al., 2005; Anderson et al., 2011; Mishra et al., 2012).

91 Soil properties and biota can also affect plant growth and physiology (Meisner et al., 2013;  
92 Schweitzer et al., 2014), which may be exacerbated by contrasts between growth in potting mix  
93 or in native soil (Rowe et al., 2007; Heinze et al., 2016). The observation that field-grown plants  
94 have different root systems and greater total water storage than those in greenhouse pots is of  
95 particular importance to water relations (Poorter et al., 2012a). Short-term drought stress in the  
96 field may be buffered by access to larger volumes of soil and more complex root-soil-water  
97 dynamics, conditions poorly represented in most controlled settings.

98 The field of experimental design has been fundamentally shaped by a central problem of biology:  
99 that it is notoriously difficult to control environmental factors in the field (Jones, 2013). A classic  
100 solution is to increase biological replication, but this is generally not feasible with costly and  
101 time-sensitive physiological and genetic assays (Poorter et al., 2012b; Marchand et al., 2013).  
102 Despite these difficulties, understanding the effects of drought in field conditions is necessary  
103 because it is in these settings that yield is impacted and selection is acting to shape adaptive  
104 responses to stress. Here, we determine how the interplay between drought severity, planting  
105 condition (e.g., field, potted, greenhouse) and sampling timing impacts physiological and  
106 genomic responses to drought in the  $C_4$  perennial grass, *Panicum virgatum* (switchgrass). To  
107 accomplish this, we used observations collected from clonally replicated individuals of the  
108 “AP13” switchgrass genotype (derived from the Alamo cultivar), which is the genome reference  
109 for this important biofuel crop and dominant member of mesic tall grass prairie ecosystems. The  
110 Alamo cultivar is a southern lowland accession that has high vigor and performance across a  
111 variety of climatic conditions. Replicates were grown in three separate soil moisture manipulation  
112 experiments with distinct rooting environments: medium sized pots in a greenhouse, large  
113 containers in a field setting, and in native soil under rainout shelters. In all three of these  
114 experiments, we collected leaf-level physiological and whole-genome gene expression data from  
115 droughted and control plants.

116 Combined, the three experiments represent contrasts in drought experimental manipulations (i.e.,  
117 the extent, timing and duration of drought), plant characteristics (i.e., age, maturity and size), and  
118 broadly fit with the concepts of best practice for physiological analysis of drought responses  
119 (Poorter et al., 2012b). Contrasting these experimental design considerations allows us to address  
120 how edaphic and climatic conditions impact links between gene expression and physiological  
121 phenotypic plasticity. Specifically, we assessed three fundamental questions pertaining to  
122 physiological genomics in the field: 1) How consistent is phenotypic plasticity to drought across  
123 experiments? 2) Which soil moisture deficit responses vary across sites, years and timing of  
124 sampling? 3) How does plasticity of physiological and gene expression phenotypes co-vary  
125 within and across experiments? To assess these questions, we tested how leaf physiology and  
126 whole-genome gene expression responded to the effects of drought treatments, leaf water  
127 potential and sampling time (midday and predawn). Such analyses permitted inference of the  
128 number, relative effect size and identity of differentially expressed (plastic) genes. Overall, our  
129 results suggested that differences in leaf water potential and diurnal patterns were the major  
130 drivers of gene expression variation. Furthermore, we observed consistent physiological plasticity

131 across greenhouse dry-down and field precipitation manipulation experiments, but extreme  
132 variability in the number of differentially expressed genes.  
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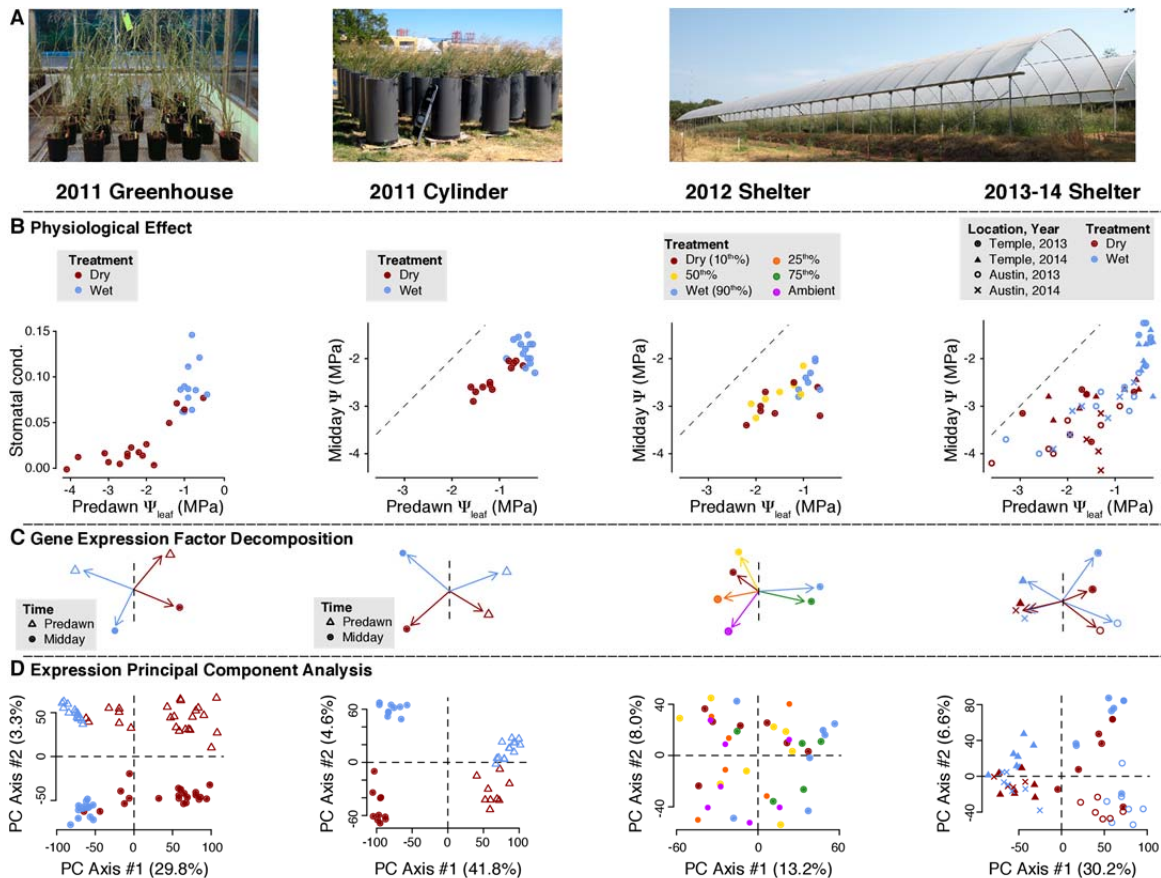
## 134 **RESULTS**

135 **Physiological and gene expression variation across experiments:** The AP13 *P. virgatum*  
136 accession was clonally replicated and grown in three experiments from 2011-2014: (1) a  
137 greenhouse dry-down in 3.74 L pots (“greenhouse”, expression data from this experiment was  
138 published previously, Meyer et al. (2014)), (2) 1400 L PVC cylinders in field conditions  
139 (“cylinder”), and (3) spaced plants grown directly in the field under 18 m x 73 m rainout shelters  
140 (“shelter”; Fig. 1A; Aspinwall et al. (2013)). The shelter experiment was further subdivided into  
141 (3a) a 2012 experiment, where six distinct watering treatments were employed at a single field  
142 site in Temple, TX, and (3b) experiments in 2013-2014 where two drought treatments were  
143 contrasted at sites in Austin and Temple, TX (Fig. 1A).

144 Predawn leaf water potential ( $\Psi_{\text{leaf}}$ ) varied considerably between watering treatments in each  
145 experiment (Table 2), reflecting the physiological impacts of drought. However, plant physiology  
146 also varied with the time of sampling (cylinder and shelter experiment), location and year (shelter  
147 experiment, Table 2). Within the 2013-2014 shelter experiment, most plants grown in the Austin  
148 site exhibited more negative midday  $\Psi_{\text{leaf}}$  values than those in Temple (Fig. 1B). Consistent with  
149 reductions in midday  $\Psi_{\text{leaf}}$ , we also observed plasticity of both photosynthetic rate ( $A$ ) and  
150 stomatal conductance ( $g_s$ ); both physiological parameters significantly declined in the drought  
151 treatments of the 2012 shelter, cylinder and greenhouse experiments (Table 2).

152 Both the physical effects of water deficit and genetic control of gene expression may drive  
153 physiological plasticity. To assess the extent of genetic responses to drought, we quantified gene  
154 expression in mature leaves in each experiment using the previously described high-throughput  
155 “TAG-seq” protocol (Meyer et al., 2011). We evaluated how distinct each treatment was with  
156 respect to the expression data using principal component analyses (PCA) (Fig. 1C) and visualized  
157 PCAs of the transposed expression matrix to depict the position of each library within genetic  
158 space (Fig. 1D). Among experiments with multiple experimental factors (excluding the 2012  
159 shelter), the first PCA axis delineated treatment differences only in the greenhouse experiment.  
160 Diurnal patterns were the strongest drivers of gene expression variation in the cylinder  
161 experiment, but were secondary to the drought treatment in the greenhouse (Fig. 1C). In the 2013-  
162 14 shelters, differential expression across years—and to a lesser degree, sites—dominated (Fig.  
163 1C-D). Like in the cylinders, drought effects seemed to contribute a small proportion of  
164 expression variation in the 2013-14 shelters. In the 2012 shelter experiment, PCA analyses clearly  
165 clustered the two wetter treatments (“wet” and “75%”) away from the drier treatments (Fig. 1C);  
166 however, gene expression variation within treatments was considerable (Fig. 1D). These results  
167 demonstrated that differential expression to drought stress was strongest in the greenhouse and  
168 least observable in the field. Consistent with the multivariate analysis of gene expression  
169 variation (Fig. 1C-D), we observed many more genes with significant drought-induced  
170 differential expression in the greenhouse than the field (Table 1).

171 **Phenotypic and gene expression assays are as precise in the field as in the greenhouse.** We  
172 observed ~5500 more differentially expressed genes (between wet and dry treatments) in the  
173 greenhouse and cylinders than we did across the shelter experiments (Table 1). Here, we qualified  
174 differential expression as any case where the FDR-corrected  $P$ -value of the linear model  
175 exceeded  $\alpha = 0.05$ . It is important to note that sample sizes were not identical across experiments  
176 (Table 1), which may alter the power to detect differential expression. To determine if our results  
177 were biased by sample size inconsistencies, we subsampled individuals in each experiment to  
178 generate rarefaction curves. These analyses demonstrated that the observed differences among  
179 experiments were not artifacts of statistical power (Fig. S1). This massive difference in signal was  
180 not mirrored in the physiology data, where predawn  $\Psi_{\text{leaf}}$  values varied strongly between  
181 treatments and were of similar magnitude in the greenhouse and the field (Table 2). Furthermore,  
182 when both experiments were fit in a single model, there was only a marginally significant

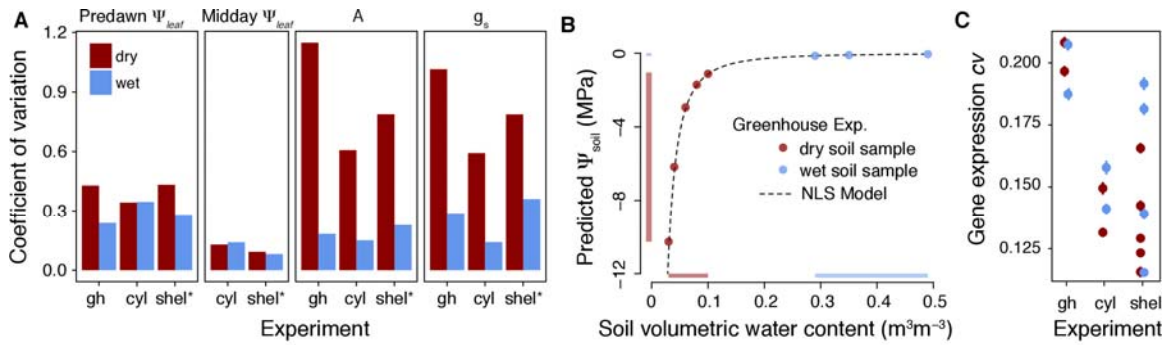


**Figure 1. Physiological and gene expression responses to drought across three experiments.**

Replicates of the AP13 switchgrass genotype were grown in three separate experiments (A). Predawn leaf water potential ( $\Psi_{\text{leaf}}$ , MPa) was assessed for each plant. Midday  $\Psi_{\text{leaf}}$  measurements were paired with tissue collection for RNA for all experiments except the greenhouse, where stomatal conductance ( $g_s$ ) was assayed at midday instead of  $\Psi_{\text{leaf}}$ . These midday and predawn measures are plotted with independent scales for the greenhouse and remaining experiments (B). The expression matrices for genes with a significant effect of any experimental factors (time of sample collection, location and year) were used to conduct principal component analysis (PCA) decompositions (C). The length and direction of the vectors indicates the strength of each experimental level. A vector perpendicular to the 1<sup>st</sup> PCA axis is plotted as a dashed line. Finally, the principal component score for the transposed expression count matrix is plotted and grouped by the experimental factors (D). The percent variance explained by the 1<sup>st</sup> two PCA axes accompanies the axis labels. Note that in the 2012 shelter experiment expression was assayed across six treatments, but physiological phenotypes were only measured in the wet, mean and dry treatments. Levels of replication for each experiment can be found in Table 1.

183 treatment-by-experiment interaction ( $F = 2.48$ ,  $df = 4$ ,  $P = 0.06$ ). This indicates that drought  
 184 treatments elicited  $\Psi_{\text{leaf}}$  responses in the same direction and with similar effect sizes across  
 185 experiments.

186 When comparing treatments,  $\Psi_{\text{leaf}}$  was more variable among droughted plants than those in wet  
 187 conditions (Fig. 1B). The coefficient of variation ( $cv$ ) within experiments and treatments confirms  
 188 this observation (Fig. 2A);  $cv$  of dry treatment predawn  $\Psi_{\text{leaf}}$  was on average 58% greater than  
 189 that of the wet treatment. Increased variability of  $\Psi_{\text{leaf}}$  in drought plants may be due to the  
 190 physical properties of drying soils. Plants perceive soil moisture as total soil water potential  
 191 ( $\Psi_{\text{soil}}$ ); however, as soils dry,  $\Psi_{\text{soil}}$  exponentially declines. We modeled the progression of soil  
 192 moisture decline from observed values of soil volumetric water content and  $\Psi_{\text{soil}}$  in the



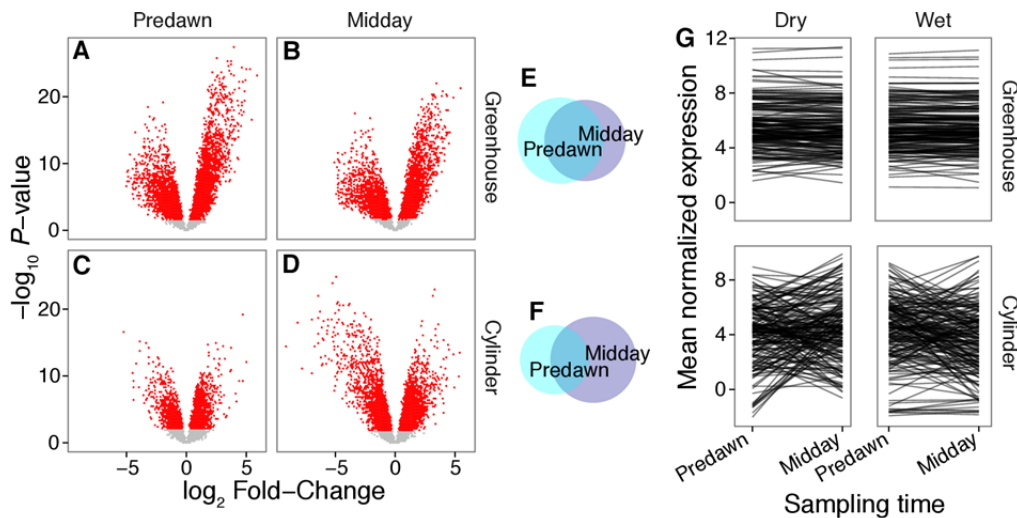
**Figure 2. Physiological, soil and normalized expression variability across experiments and treatments.** Coefficients of variation ( $cv = \text{standard deviation} / \text{mean}$ ) were calculated for each physiological and gene expression phenotype. Raw  $cv$  for each physiological phenotype is plotted in panel A. To understand the relationships between  $\Psi_{\text{soil}}$  and soil volumetric water content, we conducted soil moisture release curves for the greenhouse potting soil where a soil sample was progressively dried and volumetric water content and  $\Psi_{\text{soil}}$  were repeatedly measured; the range of observations for each treatment are presented by the marginal line segments (B). Finally, mean ( $\pm$  SE)  $cv$  across all expression traits is plotted (C). The experiments are abbreviated as shelter (“shel”), greenhouse (“gh”) and cylinder (“cyl”).

193 greenhouse (Fig. 2B). The range of  $\Psi_{\text{soil}}$  predictions in the dry treatment (10.3-1.1 -MPa) was  
 194 much greater than in the wet treatment (0.14-0.05 -MPa) despite a narrower range of volumetric  
 195 water content measurements (see marginal line segments in Fig. 2B). As such, implementing a  
 196 consistent drought treatment in terms of  $\Psi_{\text{soil}}$  was difficult, even in the greenhouse experiment.  
 197 Our physiology data clearly mirrors the soil water potential measures: there is much more  
 198 variability in the drought treatment than in well-watered conditions across all experiments (Fig.  
 199 2A).

200 We examined the variability of our measurements of physiology and expression and  
 201 (surprisingly) found that those taken in the field were less variable than those in the greenhouse.  
 202 In 3/4 of the physiological phenotypes,  $cv$  in the drought treatments was greatest in the  
 203 greenhouse and lowest in the cylinders. The  $cv$  of the fourth physiological phenotype, predawn  
 204  $\Psi_{\text{leaf}}$ , was nearly identical in the greenhouse (0.33), cylinder (0.34) and shelter (0.36) experiments  
 205 (Fig. 2A). The  $cv$  among normalized expression phenotypes largely recapitulated the physiology  
 206 data (Fig. 2C): the greenhouse experiment produced the most variable data, while the large  
 207 cylinders displayed the least. The field planted individuals had similarly variable expression  
 208 phenotypes as those in the cylinders (Fig. 2C).

209 **The effects of drought treatments are modulated by time of sampling.** Time of sampling  
 210 (predawn and midday) in the greenhouse and cylinder experiments had a substantial effect on the  
 211 expression patterns of many genes (Fig. 1C-D); however, these effects varied across experiments.  
 212 For example, in the cylinder experiment, 47% (11880) of all genes differentially responded to  
 213 sampling time, compared to only 30% (5278 genes) in the greenhouse (Fig. 3A-D). These results  
 214 revealed reduced diurnal expression regulation in the greenhouse, compared to plants grown in  
 215 the field in cylinders.

216 In addition to additive time-of-sampling effects, we detected complex interactions between  
 217 drought treatments and sampling time. Drought treatment effects were directly modulated by time  
 218 of sampling (treatment-by-time interactions in the linear model) in 8.4% (2125) and 4.6% (805)  
 219 of genes in the cylinder and greenhouse experiments. While expression patterns were generally  
 220 conserved between predawn and midday sampling in the greenhouse (Fig. 3A-B), the effect of the  
 221 drought treatment strengthened from predawn to midday in the cylinder experiment (Fig. 3C-D).  
 222 Indeed, 67% of drought-responsive genes at midday were significantly differentially expressed in  
 223 the same direction in the predawn sampling in the greenhouse (Fig. 3E), but only 30% of genes



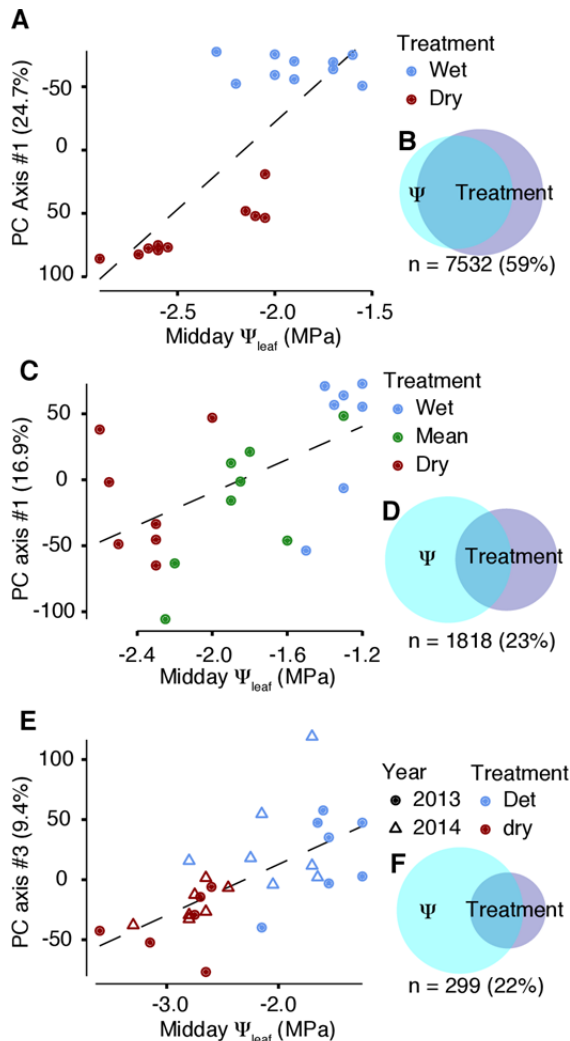
**Figure 3. Differential gene expression due to soil water deficit is affected by the time of sampling.** Differential expression between treatments was characterized via “volcano” plots, where the  $\log_2$  fold change of treatment contrasts is plotted on the horizontal axis and the  $P$ -value of the associated test is on the vertical (A-D). Points were colored by whether the FDR-corrected  $P$ -value exceeded  $\alpha = 0.05$  threshold. The total number of significant genes for each of the four contrasts were plotted in Euler diagrams (E-F), where disc size is proportional to the number of genes that were significant for each treatment contrast in the greenhouse (E) and cylinder experiment (F). The corresponding number of differentially expressed genes can be found in Table 1. To visualize the treatment\*time interactions that make up these differential responses, we plotted mean normalized expression values for each of the top 100 treatment\*time genes from the cylinder and greenhouse (G).

224 followed this pattern in the cylinders (Fig. 3F). Interestingly, the effect sizes of drought  
 225 treatments were similar (mean = 2.4% smaller) at midday vs. predawn in the greenhouse but 35%  
 226 greater in the cylinders (Fig. 3A-F). Such diurnal-by-treatment interactions were much stronger in  
 227 the cylinders than the greenhouse. Specifically, there tended to be much stronger differential  
 228 expression across sampling times in wet treatments than dry (Fig. 3G-H, Fig. S2). This effect was  
 229 strongest in the greenhouse, where the drought treatment was extreme (Fig. 1B). Indeed, in the  
 230 greenhouse, >1.7x more genes were diurnal-regulated in wet than in dry conditions (4,544 vs.  
 231 2,548, respectively, Fig. S2). It is possible that the extreme nature of the drought treatment in the  
 232 greenhouse caused the cessation of diurnal gene regulation.

233 Finally, we also detected a small influence of the precise time at which plants were sampled (i.e.,  
 234 the order that a leaf was harvested for RNA extraction) on gene expression in the field conditions  
 235 (Fig. S3). The order of sampling was a significant predictor of  $\Psi_{\text{leaf}}$  variation in 2013-14 ( $r^2 =$   
 236 0.12,  $P = 0.002$ ), but not 2012 ( $r^2=0.01$ ;  $P > 0.1$ ). Likewise, 21 genes differentially correlated  
 237 with sampling order in 2012, but 125 did so in 2013-2014.

238 **Paired gene expression and physiology permits inference of drought effects in variable**  
 239 **environments.** So far we have presented statistical tests between discrete watering treatments;  
 240 however, due to environmental heterogeneity within soil moisture treatments (Fig. 1B), it may be  
 241 more powerful and biologically relevant to look at associations between a metric of stress (e.g.,  
 242  $\Psi_{\text{leaf}}$ ) and physiological or gene expression phenotypic responses. Therefore, we augmented our  
 243 previous comparisons with regressions of gene expression against  $\Psi_{\text{leaf}}$  measurements for all three  
 244 of our experiments. In the cylinder experiment, the effects of treatment were largely recapitulated





**Figure 4. Gene expression variation associated with leaf water potential.** The cylinders, and 2012 and 2013-14 shelter experiments represent the experiments that have paired midday  $\Psi_{\text{leaf}}$  and expression assays. Principal components (PC) from the complete gene expression matrix were calculated. Of the top three PC axes, the one which is most strongly explained by midday  $\Psi_{\text{leaf}}$  is plotted. A paired Euler diagram displaying the total amount of genes differentially expressed due to treatment accompany the PCA-plots for the cylinder (A-B), 2012 experiment (C-D) and 2013-14 shelter (E-F) experiments. The total number of genes presented can be found in Table 3.

245 by regressing leaf water potential on expression data. For example, within the midday sampling  
 246 83% of genes significantly associated with water potential were also detected by a contrast  
 247 between treatments (Fig 4A-B, Table 3). This consistency reflected the strong experimental  
 248 effects observed during the midday harvest (Fig. 1B, Fig. 4A).

249 In contrast, the regression approach and factorial treatment contrasts produced different outcomes  
 250 in the shelters: fitting midday  $\Psi_{\text{leaf}}$  across treatments in 2012 increased the number of  
 251 significantly differentially expressed genes by 70% (1510 total genes compared to 887).  
 252 Additionally, 965 genes responded across the gradient of midday  $\Psi_{\text{leaf}}$ , 623 (85.7%) of which  
 253 were significant only when  $\Psi_{\text{leaf}}$  was the predictor (Table 3). In these field experiments where a

254 more continuous range of precipitation treatments were applied, significant gene expression  
255 across drought stress intensities was not fully captured by treatment of precipitation levels as  
256 factorial variable.

257 The increased power of midday  $\Psi_{\text{leaf}}$  relative to discrete treatment variables was even more  
258 pronounced in the 2013-2014 experiments, where 1758 genes were significantly differentially  
259 expressed across  $\Psi_{\text{leaf}}$  but only 727 genes were differentially expressed across treatments (a 142%  
260 increase, Fig. 4e-f). It is possible that this across site effect was due to differential site  
261 characteristics, including soil quality and nutrient availability, and not drought per se. To further  
262 examine differences between the Temple and Austin shelter experiments, we split the 2013-14  
263 dataset by site and reanalyzed differential expression. Within sites, we observed differential  
264 expression across drought treatments among 665 genes in Temple, but only 3 in Austin.  
265 However, water potential explained differential expression of 309 (1.1x) and 160 (53x) additional  
266 genes in Temple and Austin respectively (Table 3). These results indicate that utilizing  
267 measurements of physiological variation can account for expression variation that is not predicted  
268 by treatment factors alone.

269 **Leaf-level physiological responses, but not differential gene expression, are highly**  
270 **correlated across experiments.** The majority of genomic studies of drought have been  
271 conducted in highly controlled laboratory or greenhouse settings, which are intended to elucidate  
272 the patterns and processes of drought responses in the field. Here, we find broadly different  
273 characteristics of drought response in the shelters from those in either cylinder or greenhouse  
274 conditions. For example, across all differentially expressed genes the absolute effect size (mean  
275 absolute  $\log_2$  fold change) was 75% -148% greater between treatments in the greenhouse than in  
276 the 2012, 2013-2014 shelter experiments and the cylinder experiment; furthermore, more genes  
277 significantly responded to the drought treatments of the greenhouse (6597 genes, treatment main  
278 effect,  $\alpha = 0.05$ ) and cylinders (4489) than either the 2012 (887) or 2013-2014 shelters (752).  
279 Combined with our physiological response data, these results indicated that field-grown plants  
280 were responding to drought in a similar manner to potted greenhouse plants among some  
281 phenotypes, but altered expression values at a much lower rate.

282 Such weaker responses could be at the gene-level, in which case the direction and effect size of  
283 differential expression in the greenhouse should be highly predictive of that in the field.  
284 Alternatively, entirely different genetic responses may be present in the field. In many cases, we  
285 found strong correlations between expression in the field and the greenhouse, but  $r^2$  between  $\log_2$   
286 fold changes in the greenhouse and field never exceeded 0.35 (Fig. S4), indicating that much of  
287 the drought responsive expression in the field was not predicted by that in the greenhouse.

288 While greenhouse responses to drought did not entirely predict those in the field, drought-  
289 responsive genes in the field were very clearly differentially expressed in the greenhouse. Of the  
290 716 genes that were differentially expressed in the field and quantifiable in the greenhouse, 549  
291 (76.7%) were also differentially expressed in the greenhouse, representing a highly significant  
292 enrichment of overlapping genes (*odds ratio* = 3.1,  $P < 0.0001$ , Table S1). Furthermore, despite  
293 relatively weak predictive power across experiments, the genes found in each experiment were  
294 much more likely to be found in other experiments than would be expected by chance. In the  
295 extreme case, the overlap between significant genes in the 2012 and 2013-2014 shelter  
296 experiments is 7.1x greater than the null expectation (Table S1). Combined, these results provide  
297 a potentially surprising result: the relatively uncontrolled field environment offered the strictest  
298 test of differential expression.

299 **Genes and gene functions related to drought.** While  $>10^4$  genes displayed phenotypic plasticity  
300 to drought, only 546 were differentially expressed in three or more of our experiments (84 were  
301 found in all experiments). Interestingly, with few exceptions, these “core” genes were

302 consistently up- or down-regulated across all experiments (Fig. S5). Among this set, 460 genes  
303 were homologs of annotated *Arabidopsis thaliana* genes. However, of the 84 genes that were  
304 drought-responsive in all experiments, nine had no annotation, six were annotated only by protein  
305 domain/motif or general process and two were annotated as “Protein of unknown function  
306 (PUF)”. These data suggest that the majority of drought-responsive genes belong to a well-known  
307 group of genes with specific assigned functions in plant biology.

308 As expected, we detected a significant enrichment of genes related to responses to stress and  
309 water ( $P < 0.0001$ ) among the core set of genes (Table S2). These genes included homologs of  
310 dehydrins, LEA-type proteins, aquaporins, ascorbate peroxidase and other genes related to  
311 reactive oxygen species (ROS) detoxification, and abscisic acid (ABA)-responsive phosphatases  
312 and transcription factors. LEA proteins, dehydrin and aquaporins are induced by drought and  
313 involved in desiccation tolerance (Table S3 and references therein). Among the ABA responsive  
314 gene families were PP2C genes (ABI1-2) four members of the AP2 family of transcription factors  
315 including DREB1-2, and an ABA-responsive element-binding element (AREB). In addition, we  
316 detected genes coding glutathione S-transferase, L-ascorbate peroxidase, ascorbate  
317 oxidoreductase and other genes involved in reactive oxygen radical detoxification. Reactive  
318 oxygen species (ROS) are known to accumulate during many biotic and abiotic stresses, and  
319 defense against ROS appears to be a common mechanism during drought (Table S3 and  
320 references therein). Interestingly, two homologues of NCED9, a key enzyme in ABA  
321 biosynthesis, were also present in this core set of drought responsive genes (Table S3 and  
322 references therein).

323 Other GO drought-related annotation categories were enriched in the core set of genes, including  
324 photosynthesis (which was the most highly enriched GO term,  $P = 9.1 \times 10^{-9}$ ) and several  
325 annotations related to oxidation-reduction status. We detected 7 genes encoding light-harvesting  
326 complex II and many others related to  $C_4$  photosynthesis including two alanine  
327 aminotransferases, a phosphoenolpyruvate carboxykinase, and two malate dehydrogenases.  
328 Additionally, P5CS, which encodes a key enzyme in the biosynthesis of the osmoregulator  
329 proline, was also present in the identified list of “core” drought-responsive switchgrass genes.

330 In the cylinder and greenhouse experiments we confirmed that genes affected by time of sampling  
331 (predawn vs. midday) were enriched for GO terms related to circadian, or light-responsive  
332 annotations as photosynthesis and “regulation of circadian rhythm” annotations were some of the  
333 most overrepresented categories (Table S2).

334

335

## 336 **DISCUSSION**

337 To test the consistency of physiological and gene expression plasticity to soil moisture variation,  
338 we exposed clones of a single switchgrass genotype to drought treatments in the greenhouse and  
339 field. Pairing physiological measurements with detailed analyses of the genes that respond to  
340 drought revealed similar physiological responses, but qualitatively different patterns of molecular  
341 plasticity in the field than the controlled edaphic environments of the greenhouse and cylinders.  
342 For example, plants grown in small pots in the greenhouse displayed similar leaf water potential  
343 plasticity to plants grown in the field but ~10x more differentially expressed genes. Combined,  
344 these data indicated that many fewer differentially expressed genes were responsible for similar  
345 physiological plasticity in the field than the greenhouse.

346 **Comparison of soil water deficit manipulations across experiments.** Soil moisture  
347 manipulation experiments are generally practiced as “dry-downs” where watering is limited or  
348 ceased and potted plants experience attenuated soil moisture. Such experiments are the basis of  
349 much of the molecular understanding of drought physiology. Alternatively, ecologists and  
350 agronomists often test drought physiological responses through precipitation exclusion (or  
351 irrigation supplementation) treatments that persist through much of the growing season, or even  
352 across years. Both approaches may be ecologically realistic. For example, many annual species  
353 grow and reproduce with the water remaining from a single rainfall event, mimicking the  
354 progressive dry-down approach. However, crop breeders or climate change biologists may seek to  
355 understand how fitness and yield can be maintained during relatively dry growing seasons across  
356 drought years. This type of “press” drought treatment imposed in the field is known to elicit  
357 different physiological, gene expression (Barker et al., 2005), and ultimately community-scale,  
358 responses relative to “pulse” droughts such as those imposed by our cylinder and greenhouse  
359 experiments (Hoover et al., 2015; Hoover and Rogers, 2016).

360 The analyses presented here contrast not only the dry-down and field-scale approaches, but also  
361 different climactic (air temperature, vapor pressure deficit, photosynthetic photon flux density  
362 (PPFD), etc.) and edaphic (soil water retention, particle size, biotic interactions, etc.)  
363 characteristics. For example, the highly controlled aerial environment of the greenhouse elicited  
364 weaker diurnal air temperature and vapor pressure deficit progressions compared to plants grown  
365 in larger cylinders in the field (Fig. 3, Meyer et al. (2014)). Consequentially, diurnal patterns of  
366 gene expression dominated drought-responsive expression profiles in the cylinders, but were  
367 significantly weaker in the greenhouse, especially with regard to drought-responsive genes.  
368 Similar patterns, which have been observed among physiological characters, may be attributable  
369 to light-responsive stress pathways including phytochemical quenching cycles (Chaves et al.,  
370 2003; Mishra et al., 2012). Such temporal variation is intentionally dampened in controlled  
371 environments, such as the greenhouse or growth chamber. However, many drought responsive  
372 genes (e.g., photosystem I-II and other light-responsive pathways) are expected to modulate  
373 expression between predawn and midday conditions. As such, the cylinder experiment offers a  
374 compromise. While permitting tight control of the soil environment by using a common soil  
375 medium and uniform drying, the cylinders exposed plants to natural varying climatic features like  
376 temperature, VPD, and changing photoperiod cycles. Our results demonstrated that the realistic  
377 aerial environment of the cylinders increased statistical power to define the diurnal-by-drought  
378 interactions that are critical to soil moisture deficit responses (Fig. 4).

379 In the shelters, irrigation manipulations were implemented at the plot level over the course of  
380 multiple years. Such long timescales provided time for plants to acclimate not only to the drought  
381 treatments, but also to the edaphic characteristics of each site. Indeed, among-site differences  
382 were a major driving variable in the 2013-2014 shelter experiment. Most plants in Austin  
383 exhibited lower (more negative) midday  $\Psi_{\text{leaf}}$  than any plants in Temple (Fig. 1B). Despite similar  
384 irrigation levels, both wet and dry treatments in Austin (2014) clustered with the Temple (2014)

385 dry treatment (Fig. 1C). It is likely that plants grown in the shallow, rocky-clay soil of the Austin  
386 site (~0.2 m depth) experienced substantial water deficits even in the wettest irrigation treatments,  
387 while deeper soils at the Temple site (~5 m depth) would have provided much greater buffering  
388 capacity against drought. As such, it is not necessarily surprising that we did not observe many  
389 significantly drought-induced gene expression responses at the Austin site.

390 **Synthesis of drought responses across experiments: What factors led to physiological, but**  
391 **not expression plasticity in the field?** Field experimentation is typically thought to require  
392 greater replication because increased environmental heterogeneity of field conditions (e.g.,  
393 variation in soils, microclimate, timing of sampling, etc.) may produce more variable  
394 measurements. Our analyses generally rejected this hypothesis and demonstrated that similar  
395 ranges of environmental, physiological and molecular heterogeneity existed within treatments  
396 across greenhouse and field sites. Instead, other factors besides residual variation must be driving  
397 the difference in gene expression—but not physiological plasticity among experiments—  
398 including (1) different mechanisms of drought responses, where short term treatments elicit  
399 different gene expression responses than long term droughts; (2) plant morphological  
400 characteristics, such as the ability to buffer soil water variation through tissue capacitance (water  
401 storage); (3) edaphic and climatic variation, such as stronger soil water potential gradients and  
402 temporally variable vapor pressure deficit in the field; or (4) physiological acclimatization.

403 It is possible that plants in the field have acclimated, following an initial drought responsive  
404 phase in which gene expression was similar to that of the plants studied in the greenhouse  
405 (Chaves et al., 2003). For example, the development of a larger root system, may permit greater  
406 soil water foraging (e.g. Comas et al., 2013). Such acclimation responses are driven by the  
407 expression of many genes (e.g. Werner et al., 2010) and may be initiated at the time of exposure  
408 to drought. Over time, expression of such genes would no longer be required, as the necessary  
409 structures would already be in place (Maseda and Fernández, 2006). However, while acclimation  
410 may play a large role in differential physiology across experiments it does not fully explain the  
411 massive disconnect between physiological and gene expression plasticity we observed. In concert  
412 with acclimation, different genetic pathways may modulate plant responses to press and pulse  
413 droughts. One interesting possibility is that the ecologically unrealistic shock imposed by the high  
414 rate of soil moisture reductions in the dry-down experiments may elicit programmed cell death  
415 and other shock responsive processes that are not drought-related per se. Such effects may be less  
416 important in larger field-grown plants if they are better able to buffer some of these extreme stress  
417 effects because of greater water storage and access to soil water (Maseda and Fernández, 2006).  
418 Genes that respond across different types of drought experiments seem most likely to offer the  
419 clearest picture of molecular responses to drought, as they may be less likely to represent  
420 treatment specific or shock-induced responses.

421 **Defining drought-responsive genes across experiments.** We expected to find similar gene  
422 expression responses across experiments because several evolutionarily-conserved (Rabbani et  
423 al., 2003) pathways are responsible for drought acclimatization, including: cell signaling,  
424 transport and communication, plant hormone metabolism, photosynthesis, and carbohydrate  
425 biosynthesis (Schafleitner et al., 2007). However, the types of genes that responded to drought  
426 treatments varied considerably across experiments (Table S3). This observation is not necessarily  
427 surprising, given the physiological differences between greenhouse- and field-grown plants  
428 (Chaves et al., 2003; Mittler, 2006; Mishra et al., 2012). For example, photosynthesis and energy  
429 production genes dominated the greenhouse experiment, whereas metabolism biosynthesis and  
430 stress response genes were less abundant. Similar observations have previously been reported in  
431 sunflower (Rengel et al., 2012) among other species. In contrast, longer term drought treatments  
432 disproportionally induced genes involved in other biological processes such as membrane  
433 biogenesis, redox mechanisms, cellular biosynthesis and metabolism (Table 4, Table S3, Table

434 S4, Des Marais et al. (2012)). In addition, while many transcription factors or genes related to  
435 DNA metabolism were previously found in the greenhouse dataset alone (Meyer et al., 2014)  
436 very few of them were identified as significant across all four drought experiments (Table 4,  
437 Table S3). It is possible that changes in transcription factor expression levels resulted in  
438 substantial but short-term effects in plants that experienced sudden drought in a greenhouse  
439 setting. By contrast, these effects may have been dampened in well-established and acclimatized  
440 plants in the field.

441 While transcriptional drought responses of AP13 plants varied substantially among different  
442 experiments, we detected a set of “core” responsive genes that were enriched in all four (84  
443 genes) or 3/4 (n = 546) experiments (Table S3). This list of genes provided a set of candidate  
444 pathways necessary to confer water deficit responses in switchgrass. Homologs of many of these  
445 genes have been documented as drought related in other species. Included in this list were  
446 dehydrin (Lopez et al., 2003) and DnaJ chaperones, which are induced under drought in many  
447 plants and may contribute to better performance under water stress (Seki et al., 2002; Nguyen et  
448 al., 2004). We found homologs of two NCED9 loci, which are key enzymes in the abscisic acid  
449 (ABA) biosynthesis pathway (Lefebvre et al., 2006) and a broad range of ABA responsive  
450 enzymes and transcription factors. These included homologs of AREB, two PP2C genes (ABI1-2)  
451 and several AP2-binding transcription factors (DREB1-2). Interestingly, AREB transcription  
452 factors have been implicated in drought-responsive trans-regulatory divergence in *P. hallii*, a  
453 close relative of switchgrass (Lovell et al., 2016). Furthermore, ABI1-2, are known to be  
454 transcriptionally up regulated in response to ABA and control responses to drought, heat shock  
455 and oxidative stress (Vranová et al., 2000; Merlot et al., 2001; Schweighofer et al., 2004;  
456 Schafleitner et al., 2007). *DREB* (drought responsive element binding proteins) and other  
457 members of the AP-2 binding gene family represent some of the best documented regulators of  
458 ABA dependent and independent drought responsive transcription regulatory elements (Liu et al.,  
459 1998).

460 It is well documented that reduced transpiration, which accompanies drought acclimatization,  
461 may result in increased leaf temperature, light damage and a need for transcriptional responses to  
462 both heat (Bogeat-Triboulot et al., 2007; Swarbreck et al., 2011) and reactive oxygen species  
463 (ROS) stress (Smirnoff, 1993; Schafleitner et al., 2007). Interestingly, we observed many genes  
464 annotated to these abiotic stress responses, including heat shock-responsive transcription factors,  
465 which corroborates the previously proposed link between thermal defense and drought response  
466 in plants (Feder and Hofmann, 2003; Meyer et al., 2014). In addition, we detected genes coding  
467 for glutathione S-transferase, L-ascorbate peroxidase, ascorbate oxidoreductase and others  
468 involved in active oxygen radical detoxification.

469 Finally, we found genes from many of the *a priori* drought-responsive candidate groups;  
470 however, there were a few notable sets of drought-acclimatization genes that did not appear in our  
471 lists. Transcription factor families identified in the “core” set of drought responsive genes include  
472 members of the MYB-like, zinc finger, CCAAT-binding factors, Nuclear Factor Y (NF-Y), and  
473 MADS box. However, despite the apparent abundance of various types of transcription factors,  
474 the total number of identified genes and gene families with DNA binding activity was much  
475 smaller than detected previously in other studies (Schafleitner et al., 2007; Meyer et al., 2014).  
476 Specifically, we did not observe members of WRKY, NAM, TAF, SCARECROW, NAC, and  
477 CPP1 (among others) families of transcription factors (Meyer:2014eg; Rizhsky et al., 2002; Seki  
478 et al., 2002). It is possible that many of these transcription factors are currently mis- or un-  
479 annotated due to the very preliminary nature of the *Panicum virgatum* genome assembly (84% of  
480 the core genes were annotated). However these genes may be more critical in early signaling  
481 processes and would not be expected to be differentially responsive over term acclimation  
482 response in the field.

483 **Discussion of best practices in field-scale physiological genomics.** We found that despite  
484 strong physiological and soil moisture differences, plants in the field adjusted many fewer genes  
485 than potted plants in the greenhouse or plants grown in cylinders. It is clear that press droughts  
486 cause qualitatively different patterns of expression than dry-downs. These genetic differences  
487 between sustained and shock drought stress responses offer a challenge, but also a unique  
488 opportunity to study physiological diversity in the field. In our experiments we encountered  
489 several significant barriers that are important to experiments addressing these differences:  
490 particularly important are (1) the effect size of soil water deficit treatment differences, (2) fine-  
491 scale temporal expression differences across sampling, and (3) among-site variation.

492 In the 2012 shelter experiment, although we applied 6 different water treatments, clustering  
493 significant genes by expression profile similarity clearly differentiated plants under wet (and to a  
494 lesser extent 75<sup>th</sup> percentile) water treatment from all other treatment levels. In fact, at the whole  
495 transcriptome level we observed very weak differences in gene expression among mean, dry,  
496 ambient and 25<sup>th</sup> percentile water treatment conditions. Thus, under our experimental conditions  
497 in the field the observed 2012 differences in gene expression could have been captured by  
498 applying just two experimental water treatments: wet and dry. This result indicates that fewer,  
499 more distinct treatments with stronger within-treatment replication will result in more statistical  
500 power when using the experimental treatment as a factorial predictor. However, the stress  
501 gradient present across the six treatment levels proved useful as it provided a broader distribution  
502 of water potentials and improved power to detect gene expression plasticity to  $\Psi_{\text{leaf}}$ .

503 Time of sampling was an important factor across all experiments, ranging from within days to  
504 across years. While this is clear from contrasts between predawn and midday sampling, where  
505 >10k genes were differentially expressed, we also found subtle differences between expression  
506 patterns at the beginning and end of sampling in any given experiment. For example, the 2012  
507 shelter data presented here comes from a larger experiment with >400 individuals in total. Overall  
508 sample collection for all plants took 2 hours, from 11 am to 1 pm. Many genes showed linear  
509 changes in expression over the sampling period of 2 hours (Fig. S3). These data clearly  
510 demonstrated that sampling order (and likely other micro-variation factors) could affect gene  
511 expression in the field. However, the small effect size (only 21 significant genes) observed in our  
512 experiments indicates that carefully planned and carried out experimental design (a narrow and  
513 consistent enough sampling window) can produce stable estimates of treatment effects that are  
514 not confounded with time-of-sampling micro-variation artifacts. We corrected for the time of  
515 sampling by using the spatial and temporal block in which each individual was sampled as a  
516 random effect; where spatial and temporal blocking factors do not covary, correcting for sampling  
517 time alone can improve statistical power to define differentially expressed genes (Lovell et al.,  
518 2016).

519 Across experiments, we paired leaf water potential with gene expression assays. The use of  
520 physiology as a covariate for assessment of differential gene expression permitted inference of  
521 effects across harvests, years, sites, and even experiments. Combined, these results support the  
522 expectation that leaf water potential serves as a powerful proxy for the degree of drought stress  
523 experienced by individual plants. Since most plants strive to avoid the effects of drought by  
524 maintaining leaf water potential homeostasis, this variable may be a strong predictor of the  
525 perceived stress of the local environment. Whereas leaf water potential certainly confers greater  
526 power to detect differential expression and assessment of across-site drought response, using this  
527 variable as a predictor does not permit causal inference (Jones, 2007). Genes that are correlated  
528 with leaf water status may either respond to such decreases in water potential or may have led to  
529 the reduction of water potential in the first place (e.g. Fu et al., 2000). For example, ABA  
530 sensitive genes in guard cells both cause variation in leaf water potential through stomatal  
531 regulation and respond directly to water potential (e.g. Tardieu and Davies, 1992; Speirs et al.,

532 2013). Therefore, inference regarding leaf water potential as a predictor should be interpreted  
533 carefully, possibly corroborated with comparisons among treatments. The use of other metrics of  
534 plant water status, like absolute or relative water content, may also be useful in assessing the  
535 molecular impact of water-deficit treatments (Maseda and Fernández, 2006; Jones, 2007).

536 Despite the long-term nature of our field-scale droughts, our measures of drought response are a  
537 snapshot, taken at a single time point when we perceived drought to have reached a critical point  
538 (in the greenhouse and cylinders) or when field conditions were optimal for sampling (in the  
539 shelter). Additionally, there may be subtle circadian-by-treatment interactions, which would not  
540 be captured by discrete predawn and midday sampling. However, by combining leaf physiology  
541 and gene expression measurements at these sampling points we endeavored to gain a synthetic  
542 picture of how drought was affecting individuals at a whole-plant scale. While we present single  
543 time-point analyses, repeated sampling across natural progressions of soil wetting/drying might  
544 be especially illuminating.

545 **Conclusions.** A major goal of modern plant biology is to better understand abiotic stress  
546 responses to improve crop plants—especially in the face of climate change (Ahuja et al., 2010;  
547 Tuteja and Gill, 2013). To do so requires that our fundamental understanding of physiology and  
548 molecular processes translate from controlled greenhouse and laboratory experiments into the  
549 field. Methods to emulate field-like conditions in the laboratory or greenhouse settings have been  
550 developed as an alternative to traditional soil water dry-downs (Harb et al., 2010); however, even  
551 factorial combinations of stressors (Suzuki et al., 2014) ) may fail to capture the complex  
552 interplay of environmental variables experienced in the field. Thus, it is crucial to relate findings  
553 of field studies with those performed under controlled conditions, but only a few studies  
554 comparing physiological traits and gene expression data in drought treatments in both field and  
555 greenhouse conditions have been published (but see Rengel et al., 2012; Marchand et al., 2013).

556 Our study demonstrates how genes and phenotypic traits differentially respond to soil water  
557 deficit across greenhouse and field trials that impose different severity and duration of drought  
558 treatments. We find that the bulk of differentially regulated genes in the field are also found in the  
559 greenhouse. This indicates that the molecular and functional understanding of field grown plants  
560 is mirrored in the laboratory. However, a mechanistic understanding of how plants achieve  
561 similar physiological responses to drought across laboratory, greenhouse and field experiments—  
562 while regulating expression of different and generally fewer genes—remains to be developed. A  
563 collection of studies both linking controlled experiments to the field and exploiting natural  
564 precipitation and drying (e.g. Kudoh, 2015) will likely provide critical steps towards achieving  
565 this goal. Finally, biological replication is critical to detecting physiological and gene expression  
566 variation in the field. New high-throughput tools for measuring both relevant physiological and  
567 genome-wide expression phenotypes, such as TAG-Seq and tractor-mounted imaging, may  
568 provide an excellent avenue with which to achieve the necessary replication to compare field and  
569 laboratory physiological genomic studies.

570

## 571 **MATERIALS AND METHODS**

572 **Overview of Experiments.** Here, we present results from three separate experiments.  
573 Experimental design and conditions for the shelter and greenhouse experiments have been  
574 published previously (Aspinwall et al., 2013; Meyer et al., 2014). Relevant details are briefly  
575 reiterated below and expanded in the online supplementary material (Table S4). The levels of  
576 replication for each experiment are presented in Table 1.

577 *2011 Greenhouse Experiment:* Plants were grown in 3.78 L pots at the University of Texas  
578 Brackenridge Field Laboratory (Austin, TX) in the greenhouse with mean daytime air



579 temperature of 30°C and relative humidity of 65% (Meyer et al., 2014). Abundant watering was  
580 applied for the first 45 days of growth followed by complete withdrawal of water for the  
581 subsequent 14 days (experimental dry-down treatment group) or continued abundant watering  
582 (control group).

583 *2011 Cylinder Experiment:* Plants were grown outside at the University of Texas J.J. Pickle  
584 Research Facility and experienced natural lighting, photoperiod, humidity and temperature  
585 changes. At sampling, the maximum air temperature was 37°C and relative humidity was 13%.  
586 Plants were grown in 1.22 m tall cylinders constructed from 0.61 m diameter, grey schedule 40  
587 polyvinyl chloride pipe with a wall thickness of 13 mm. Cylinders were arranged in 5 × 6 grid,  
588 spaced 1.2 m center-to-center and filled with Ranch Rose Mix (Geo Growers, Austin, TX). For  
589 the drought treatment, water was withheld from plants for 18 days while the control treatment  
590 continued to receive irrigation. The dry-down began on 21-August. Plants were phenotyped and  
591 tissue was sampled on 8-9 September 2011.

592 *2012-2014 Shelter Experiment:* This experiment was designed to test the effects of multiple  
593 climatically realistic levels of precipitation and soil moisture on the drought responses of plants  
594 (Aspinwall et al., 2013). The treatments represented five sets of historical (87-year record)  
595 rainfall patterns (Aspinwall et al., 2016): the 10 driest years (“dry”), 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles  
596 and the 10 wettest years (“wet”) at each site (Table 2). An ambient precipitation treatment applied  
597 amounts falling at the site immediately after they occurred. The pattern of watering events in  
598 these treatments was produced using the stochastic weather generator, LARS-WG 5.5 (Semenov,  
599 2007), calibrated with an 87-year precipitation record (Aspinwall et al., 2016). Such climatically  
600 relevant drought treatments provide a proxy for the stresses experienced over the recent history  
601 and short-term future climatic scenarios at these sites (Mearns et al., 2013; Knapp et al., 2015).  
602 Due to the drought that occurred during the 2012 growing season, the ambient treatment clustered  
603 closely with the driest treatments. While gene expression was collected for all treatments,  
604 physiology was only paired with the “dry”, “mean” and “wet” treatments. In 2013 and 2014,  
605 expression data was only assayed for the wet and dry treatments.

606 **Physiological Measurements.** In each experiment, predawn  $\Psi_{\text{leaf}}$  was measured with a  
607 Scholander-type pressure chamber (PMS 1000, PMS Instruments Company, Oregon, USA) at  
608 approximately 05:00 hrs local time on the uppermost fully-expanded leaf of a tiller representative  
609 of the canopy. For collection, the leaf was excised from the tiller with a sharp pair of scissors  
610 slightly above the ligule and sealed in a ziplock bag to prevent transpirational water loss until  
611 measurement (<5 min). Midday  $\Psi_{\text{leaf}}$  was determined following the same protocol between 13:00  
612 and 15:00 h local time.

613 Leaf net photosynthesis ( $A$ ,  $\mu\text{mol m}^{-2}\text{s}^{-1}$ ) and stomatal conductance to water vapor ( $g_s$ ,  $\text{mmol m}^{-2}\text{s}^{-1}$ )  
614 were measured using portable photosynthesis systems (LI-6400XT, LI-COR, Inc., Nebraska,  
615 USA) on two adjacent uppermost fully-expanded leaves from two separate tillers representative  
616 of the canopy. In each experiment, measurements of  $A$  and  $g_s$  occurred between 11:00 and 14:30  
617 h local time. In the greenhouse and rainout shelter experiments leaves measured for  
618 photosynthesis were subsequently measured for midday  $\Psi_{\text{leaf}}$ . In the cylinder experiment water  
619 status was determined for similar but independently sampled leaves.

620 **Leaf tissue collection and RNA sequencing.** Tissue for RNA was collected from two leaves  
621 similar to those subjected to physiological measures as follows: (1) two tillers that were  
622 representative of the canopy were chosen, (2) the uppermost fully expanded leaves from each  
623 tiller were excised at the ligule, (3) 2 cm of the proximal portion of the excised leaf were  
624 separated from the midrib, and (4) both leaf samples were combined in a single 2 ml Eppendorf  
625 tube loaded with three stainless steel beads, immediately frozen in liquid nitrogen and transported  
626 on dry ice to the laboratory. Tissue was homogenized with a Geno/Grinder 2000. RNA was

627 extracted with the standard Trizol protocol and treated with DNase I to remove contaminating  
628 genomic DNA. RNA-Seq library samples were prepared using a modified version of the TAG-  
629 seq protocol (Meyer et al. (2011); Supplementary methods, 1.3). In short, purified 3' RNA was  
630 amplified and tagged prior to sequencing on the Illumina HiSeq platform. Prepared libraries were  
631 submitted to the Genomic Sequencing and Analysis Facility (University of Texas, Austin, USA)  
632 aiming to obtain 5 million reads per sample. RNA sequencing for the greenhouse experiment was  
633 accomplished on the SOLiD platform and described in detail in (Meyer et al., 2014).

634 Differences in library construction and sequencing chemistry between SOLiD and Illumina  
635 systems have been implicated in producing variation in transcriptomic profiles (Tariq et al., 2011)  
636 with library construction expected to feature heavily in observed qualitative and quantitative  
637 differences (Linsen et al., 2009). Nonetheless, correlations across such samples remain reasonable  
638 (i.e., global transcriptomic profiles remain largely intact) (Tyakht et al., 2014), and within-  
639 protocol (between sample) fold change estimates are thought to be robust to platform biases (i.e.,  
640 differential expression analysis within a uniform protocol remains a viable assay (Toedling et al.,  
641 2012)). Platform biases may influence the power of differential expression tests at the gene level  
642 (and subsequently bias our comparisons of significant genes across experimental designs) through  
643 their impact on gene level sequencing depth. However, it is known that power in gene expression  
644 count contexts is primarily driven by sample size and secondarily by sequencing depth (Ching et  
645 al., 2014). We compared differences in the power to detect differential expression among  
646 sequencing technologies and experiments (which have slightly different levels of replication). To  
647 do so, we conducted “significance curves”, where the total number of individuals in each  
648 experiment was rarefied, allowing direct comparison of the power of an experiment when  
649 replication is identical (Fig. S1).

650 **Bioinformatic analysis of RNA-seq data.** Shelter, cylinder (Illumina) and greenhouse (SOLiD)  
651 data was processed into fastq format and poly-A tail and known TAG-Seq 5' adapter sequence  
652 was removed using cutadapt (Martin, 2011). The trimmed sequences were subsequently aligned  
653 to the *P. virgatum* V2.0 reference (<http://phytozome.jgi.doe.gov>, Goodstein et al. (2012)). Base  
654 space reads were aligned with BWA-mem (Li and Durbin, 2009) SOLiD were aligned with the  
655 Bowtie color space aligner (Langmead et al., 2009). Hits to genes based on the *P. virgatum* V2.1  
656 annotation were assessed using the “union” mode of htseq-count (Anders et al., 2015). Multiple  
657 alignments were utilized in the sam files and non-uniquely mapping reads were excluded (see  
658 supplementary materials). Library preparation and sequencing effort resulted in generally similar  
659 levels of saturation of the transcriptome (Figure S6, Supplementary material).

660 **Statistical Analysis.** The LIMMA R package (Ritchie et al., 2015) was used to conduct all  
661 statistical analyses pertaining to gene expression assays and GO annotations. Various model  
662 specifications can be found in the online supplementary material, and the functions used to  
663 streamline our analyses have been written into an R package ([github.com/jtlovell/limmaDE2](https://github.com/jtlovell/limmaDE2)).  
664 For each model, normalization factors were calculated to scale libraries by total counts after first  
665 excluding any genes with mean expression < 5 raw counts. These factors were used as a covariate  
666 in the “voom” normalization procedure. In addition to the normalized counts, where possible, we  
667 also used either a spatial variable or repeated measures as a blocking variable in the linear model.  
668 Gene-wise statistics were calculated via generalized least squares linear models and subsequent  
669 empirical Bayes procedures to infer variance structure across genes. *P*-values were FDR  
670 corrected via the Benjamini-Hochberg method via the R function, “p.adjust” (Benjamini and  
671 Hochberg, 1995). Both GO and gene overlap enrichments were inferred via Fisher’s tests.

672 Statistical analyses of physiological data were treated similarly to expression counts. We tested  
673 the effects of drought treatments, while controlling for spatial and temporal sampling variation in  
674 mixed effects linear models implemented in the R lme4 package (see supplementary material;

675 Bates et al. (2014)). Type III SS tests were calculated with the lmerTest package (Kuznetsova et  
676 al., 2013).

677 Multivariate tests of gene expression were conducted via principal component analyses (PCA) of  
678 normalized expression matrices in R. To determine the relative importance of each experimental  
679 factor, we culled the expression matrix to genes that were significantly differentially expressed in  
680 any factor. We subsequently applied an ANOVA decomposition of variance via PCA from the  
681 LIMMA fitted linear model (Fresno et al., 2014) on the culled expression matrix.

682 **Accession numbers:** RNA-seq data analyzed here have been deposited in the short read archive  
683 under BioProject ID: PRJNA322529. Accession numbers and metadata are presented in Table S5.

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694

#### 695 SUPPLEMENTAL DATA

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697 Supplementary Methods: Additional physiological methods, Additional RNA extraction methods,  
698 Additional bioinformatics methods, and Additional statistical methods

699 Supplemental Appendix: Model specifications

700 Supplemental Table 1: ANOVA TypeIII statistics from models fitting experimental treatments to  
701 physiological response variables.

702 Supplemental Table 2: Significance and odds ratios of significantly differentially expressed gene  
703 overlaps.

704 Supplemental Table 3: GO enrichment across experiments (see separate file).

705 Supplemental Table 4: Gene lists and annotations of genes differentially expressed in 3 or 4 of the  
706 experiments with homologs related to drought (see separate file).

707 Supplemental Table 5: Climactic conditions during harvest

708 Supplemental Figure 1. The number of significant genes detected per fixed replication level.

709 Supplemental Figure 2: The differential impact of diurnal patterns on gene expression in the wet  
710 and dry treatments of the shelter experiment.

711 Supplemental Figure 3: The physiological and gene expression effects of the order of sample  
712 collection.

713 Supplemental Figure 4: Pairwise expression correlations.

714 Supplemental Figure 5. Conserved expression across all experiments.

715 Supplemental Figure 6. Rarefaction analysis of library sequencing depth.

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## **FIGURE CAPTIONS**

721 **Figure 1. Physiological and gene expression responses to drought across three experiments.**  
722 Replicates of the AP13 switchgrass genotype were grown in three separate experiments (A).  
723 Predawn leaf water potential ( $\Psi_{\text{leaf}}$ , MPa) was assessed for each plant. Midday  $\Psi_{\text{leaf}}$   
724 measurements were paired with tissue collection for RNA for all experiments except the  
725 greenhouse, where stomatal conductance ( $g_s$ ) was assayed at midday instead of  $\Psi_{\text{leaf}}$ . These  
726 midday and predawn measures are plotted with independent scales for the greenhouse and  
727 remaining experiments (B). The expression matrices for genes with a significant effect of any  
728 experimental factors (time of sample collection, location and year) were used to conduct principal  
729 component analysis (PCA) decompositions (C). The length and direction of the vectors indicates  
730 the strength of each experimental level. A vector perpendicular to the 1<sup>st</sup> PCA axis is plotted as a  
731 dashed line. Finally, the principal component score for the transposed expression count matrix is  
732 plotted and grouped by the experimental factors (D). The percent variance explained by the 1<sup>st</sup>  
733 two PCA axes accompanies the axis labels. Note that in the 2012 shelter experiment expression  
734 was assayed across six treatments, but physiological phenotypes were only measured in the wet,  
735 mean and dry treatments. Levels of replication for each experiment can be found in Table 1.

736

737 **Figure 2. Physiological, soil and normalized expression variability across experiments and**  
738 **treatments.** Coefficients of variation ( $cv = \text{standard deviation} / \text{mean}$ ) were calculated for each  
739 physiological and gene expression phenotype. Raw  $cv$  for each physiological phenotype is plotted  
740 in panel A. To understand the relationships between  $\Psi_{\text{soil}}$  and soil volumetric water content, we  
741 conducted soil moisture release curves for the greenhouse potting soil where a soil sample was  
742 progressively dried and volumetric water content and  $\Psi_{\text{soil}}$  were repeatedly measured; the range  
743 of observations for each treatment are presented by the marginal line segments (B). Finally, mean  
744 ( $\pm$  SE)  $cv$  across all expression traits is plotted (C). The experiments are abbreviated as shelter  
745 (“shel”), greenhouse (“gh”) and cylinder (“cyl”).

746

747 **Figure 3. Differential gene expression due to soil water deficit is affected by the time of**  
748 **sampling.** Differential expression between treatments was characterized via “volcano” plots,  
749 where the  $\log_2$  fold change of treatment contrasts is plotted on the horizontal axis and the  $P$ -value  
750 of the associated test is on the vertical (A-D). Points were colored by whether the FDR-corrected  
751  $P$ -value exceeded  $\alpha = 0.05$  threshold. The total number of significant genes for each of the four  
752 contrasts were plotted in Euler diagrams (E-F), where disc size is proportional to the number of  
753 genes that were significant for each treatment contrast in the greenhouse (E) and cylinder  
754 experiment (F). The corresponding number of differentially expressed genes can be found in  
755 Table 1. To visualize the treatment\*time interactions that make up these differential responses,  
756 we plotted mean normalized expression values for each of the top 100 treatment\*time genes from  
757 the cylinder and greenhouse (G).

758

759 **Figure 4. Gene expression variation associated with leaf water potential.** The cylinders, and  
760 2012 and 2013-14 shelter experiments represent the experiments that have paired midday  $\Psi_{\text{leaf}}$   
761 and expression assays. Principal components (PC) from the complete gene expression matrix  
762 were calculated. Of the top three PC axes, the one which is most strongly explained by  
763 midday  $\Psi_{\text{leaf}}$  is plotted. A paired Euler diagram displaying the total amount of genes differentially

764 expressed due to treatment accompany the PCA- plots for the cylinder (A-B), 2012 experiment  
765 (C-D) and 2013-14 shelter (E-F) experiments. The total number of genes presented can be found  
766 in Table 3.

767 **TABLES:**

768

769 **Table 1. Summary of experiments and the effects of drought treatments.** Sample sizes (*n*)  
 770 and mean leaf water potentials are displayed for each treatment and experiment. Accompanying  
 771 each experimental factor is the number of differentially expressed genes (*n* DE) due to the wet-  
 772 dry treatment contrast therein. \*Treatment replication in 2012: dry = 7; 25<sup>th</sup> = 6; mean = 8;  
 773 ambient = 7; 75<sup>th</sup> = 5; wet = 7.

Experiment	Location	Leaf taken at	<i>n</i> Wet	<i>n</i> Dry	$\Psi_{\text{wet}}$	$\Psi_{\text{dry}}$	$\Psi$ Diff.	<i>n</i> DE
"Greenhouse"	Greenhouse	Predawn	14	24	-0.89	-2.42	1.53	6623
		Midday	13	21	NA	NA	NA	5918
"Cylinder"	1.4m <sup>3</sup> cylinders	Predawn	14	9	-0.45	-1.09	0.64	3285
		Midday	10	10	-1.88	-2.43	0.55	5745
"2012 Shelter"	Field	Midday	*	*	-1.32	-2.36	1.04	887
"2013-14"	Field	Austin, 2013	7	6	-3.03	-3.63	0.6	0
		Austin, 2014	7	4	-3.1	-3.79	0.69	4
		Temple, 2013	7	6	-1.57	-2.91	1.34	319
		Temple, 2014	7	7	-2.04	-2.77	0.73	154

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776 **Table 2: ANOVA statistics from models fitting experimental treatments to physiological**  
 777 **response variables.** Time effects were not estimable for conductance (*g<sub>s</sub>*) and photosynthetic rate  
 778 (*A*), which were only measured at midday in the cylinder and greenhouse experiments. Timing of  
 779  $\Psi_{\text{leaf}}$  measurements are defined by subscripts. TypeIII *F*-statistics and *P*-values are presented  
 780 along with absolute effect size, which is the proportion of differences between group means and  
 781 the overall mean. Only a subset of all absolute effect sizes are presented and are indicated by: \*  
 782 Predawn, \*\* Midday; `Temple 2013, ``Austin 2013; ^ Wet vs. Dry, ^^ Mean vs. Dry

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Experiment	TypeIII Term	Phenotype	<i>df</i>	Abs. effect size	<i>F</i>	<i>P</i>
cylinder	Treatment	<i>g<sub>s</sub></i>	1	0.99	78.85	<0.001
cylinder	Treatment	<i>A</i>	1	0.92	60.70	<0.001
cylinder	Treatment	$\Psi$	1	*0.91, **0.93	79.72	<0.001
cylinder	Time	$\Psi$	1		273.9	<0.001
cylinder	Treatment:Time	$\Psi$	1		0.268	0.6080
greenhouse	Treatment	<i>g<sub>s</sub></i>	1	0.81	43.24	<0.001
greenhouse	Treatment	<i>A</i>	1	0.88	80.20	<0.001
greenhouse	Treatment	$\Psi_{\text{predawn}}$	1	0.72	24.65	<0.001
shelter 2012	Treatment	<i>g<sub>s</sub></i>	2	^1.09, ^^0.17	19.66	<0.001
shelter 2012	Treatment	<i>A</i>	2	^2.10, ^^0.52	19.43	<0.001
shelter 2012	Treatment	$\Psi_{\text{predawn}}$	2	^0.20, ^^0.08	36.98	<0.001
shelter 2012	Treatment	$\Psi_{\text{predawn}}$	2	^0.48, ^^0.01	10.88	0.0010
shelter 2013-14	Treatment	$\Psi_{\text{predawn}}$	1	`0.48, ``0.22	49.89	<0.001
shelter 2013-14	Location	$\Psi_{\text{predawn}}$	1		17.85	0.0063
shelter 2013-14	Year	$\Psi_{\text{predawn}}$	1		2.545	0.1185
shelter 2013-14	Treatment:Location	$\Psi_{\text{predawn}}$	1		4.710	0.0357
shelter 2013-14	Treatment	$\Psi_{\text{predawn}}$	1	`1.21, ``0.56	20.48	<0.001
shelter 2013-14	Location	$\Psi_{\text{predawn}}$	1		1.682	0.2425
shelter 2013-14	Year	$\Psi_{\text{predawn}}$	1		1.680	0.2025
shelter 2013-14	Treatment:Location	$\Psi_{\text{predawn}}$	1		7.149	0.0107

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787 **Table 3. The number of significant genes in each experiment.** Treatment (“Trt.”) and  $\Psi_{\text{leaf}}$  at  
 788 midday sampling were characterized for 2012, 2013-14 and cylinder experiments. The number of  
 789 significant genes were determined ( $\alpha = 0.05$ ) for two models: 1)  $\sim$  treatment, 2)  $\sim \Psi_{\text{leaf}}$ . For  
 790 consistency, these models were only fit at midday for the cylinder and greenhouse data and within  
 791 each site for the 2013-14 shelters.  
 792

Experiment	Trt.	$\Psi_{\text{leaf}}$	% $\Psi_{\text{leaf}}$ not Trt.
2012	887	965	85.7%
2013-14	727	1758	177.7%
- Temple	665	309	11.0%
- Austin	3	160	5300.0%
Cylinder at midday	6365	5323	14.3%
Greenhouse at midday	5584	-	-

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796 **Table 4. List of “core” switchgrass genes enriched in  $\geq 3/4$  experiments with known**  
 797 **homologues involved in drought response.** The number of genes found in the core list is  
 798 presented. For specific genes, references and additional information, see Table S3.  
 799

Functional category	Predicted gene function	n genes
Water stress response	Dehydrins	4
	LEA proteins	3
	Aquaporin	1
Cell rescue, abiotic stress response and senescence	DnaJ-like molecular chaperones	4
	Other chaperones	6
	Senescence	2
	Response to biotic and abiotic stress	3
	chitinase	1
ROS detoxification	multidrug resistance	1
	Glutathione S-transferase	2
	L-ascorbate peroxidase	1
ABA response pathway	ascorbate oxidoreductase	1
	Protein phosphatase 2C	13
	AREB factor	1
	NCED9	2
Transcription factors	ABA/WDS induced protein	5
	Homeobox family	4
	AP2 domain	4
	Heat shock responsive TF	1
	MYB family	4
	Zink finger family	10
	CCAAT-binding factor	1
MADS box	2	
Cell signaling,	Protein kinases	23
	Osmotic stress potassium transporter	1
	GTP-binding	2
	Ca <sup>2+</sup> -binding transmembrane protein	1
C4 Photosynthesis	Auxin response	2
	Light harvesting	7
	Alanine aminotransferase	2
	Phosphoenolpyruvate carboxykinase	1
Metabolism	Malate dehydrogenase	3
	Proline biosynthesis	1
	Sucrose synthase	2
	$\beta$ -amylase	3

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