

# Continuous anisotropic representation of coarse-grained potentials for proteins by spherical harmonics synthesis

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## Abstract

A new method is presented for extracting statistical potentials dependent on the relative side chain and backbone orientations in proteins. Coarse-grained, anisotropic potentials are constructed for short-, medium-, and long-range interactions using the Boltzmann method and a database of non-homologous protein structures. The new orientation-dependent potentials are analyzed using a spherical harmonics decomposition method with real eigenfunctions. This method permits a more realistic, continuous angular representation of the coarse-grained potentials. Results of tests for discriminating the native protein conformations from large sets of decoy proteins, show that the new continuous distance- and orientation-dependent potentials present significantly improved performance. Novel graphical representations are developed and used to depict the orientational dependence of the interaction potentials. These new continuous anisotropic statistical potentials could be instrumental in developing new computational methods for structure prediction, threading and coarse-grained simulations.

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## 1. Introduction

The ability to predict protein structures, even at a low resolution level, has become important in the field of structure-based molecular biology. Despite advances in all-atom molecular simulation methods, it is still difficult to predict in detail protein folding dynamics and thermodynamics. To gain insight into the dynamics of folding and protein–protein interactions, it is desirable to develop a series of spatially coarse-grained models. A key ingredient needed for these models is an effective set of interaction potentials. Following the seminal work of Tanaka and Scheraga [1], there is a growing interest in obtaining reasonably accurate force fields. The wealth of structural data on a number of proteins in the Protein Data Bank (PDB) [2] has been a source for obtaining interaction potentials [3–7]. Tanaka and Scheraga [1] proposed that the frequencies of amino acid pairing could be used to determine potential interaction parameters. Subsequently, with the exception of a few studies [8], most of the “knowledge-based” poten-

tials have been obtained solely in terms of residue–residue contacts [6,9–11].

An explicit distance dependence of the statistical mean force potentials was introduced by Sippl [8,12] using the Boltzmann formula. This method, known as the “Boltzmann device,” assumes that the known protein structures from the PDB correspond to classical equilibrium states. From this assumption, it follows that the distribution of the distance,  $r$ , between two side chains (SC), should correspond to the equilibrium Boltzmann distribution. Other structural parameters including internal coordinates, such as dihedral angles, can also be used in this treatment. However, most statistical potentials developed using this approach and other methods [11,13,14] have only focused on distance-dependent probability density functions.

It is known that the relative orientation of side chains is an important determinant of the local (secondary structure) geometry as well as three-dimensional (3-D) (tertiary structure) topology [5,15,16]. By analyzing various families of structures, we observed that certain orientational order parameters are prominent [17]. In this paper, we present a method for building a set of continuous orientation-dependent coarse-grained statistical potentials for proteins, from the statistics of orientational distributions extracted from PDB structures. The method is implemented for extracting potentials on three distance intervals by

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considering short- (2.0–5.6 Å), medium- (5.6–9.2 Å) and long-range (9.2–12.8 Å) side chain–side chain (SC–SC) interactions. The near globularity of protein structures implies that backbone (BB) contacts should also play an important role. The explicit consideration of the backbone interactions is also supported by the results of previous statistical derivations of backbone potentials that used virtual bond and torsion angles [18] and secondary structure information [19]. To capture the effect of the number of side chain–backbone (SC–BB) contacts, we include an extra anisotropic backbone interaction center located at the peptide bond. A spherical harmonic analysis (SHA) and synthesis (SHS) of these new potentials is used to express the orientation-dependent potentials in a more realistic, smoothed representation. The effectiveness of these potentials in recognizing the native states is assessed using decoy tests [20] and compared to their raw, non-smoothed version. The results show that the new continuous orientation-dependent potentials present a significantly improved performance.

These new coarse-grained anisotropic potentials could be useful in structure prediction studies when being used in conjunction with either a simplified SC–BB energy function [21] or with statistical information on SC–BB orientations from a detailed backbone-dependent rotamer library [22].

## 2. Methods

### 2.1. Coarse-grained model

As shown schematically in Fig. 1, in order to get parameters for the orientational dependence of the coarse-grained potentials, it is useful to define local reference frames (LRFs) for each side chain [17]. For any SC, a LRF can be constructed by considering at least three non-collinear points ( $P_1$ ,  $P_2$  and  $P_3$ ) that uniquely define the orientation of the LRF. A fourth point, usually denoted by  $S_i$  for the  $i$ th side chain, specifies the location of the LRF origin. The  $S_i$  interaction centers are typically located at the center of mass of the heavy atoms in each side chain, with the exception of Gly, where it coincides with the position of the  $C_\alpha$  atom.

The backbone sites  $C_\alpha^i$  are used to describe the backbone structure, but only the  $S_i$  interaction centers are considered to interact with each other. In this coarse-grained model for peptides and proteins, we include an additional interaction center located on the backbone [23,24] at the geometric center of each peptide bond (see Fig. 2). In this description, we assume that the local conformation of a given residue  $i$  is sufficiently well described by the corresponding  $C_\alpha^i$ ,  $S_i$  and  $\text{Pep}^i$  interaction centers.

The method for building the LRF for each side chain is summarized below, based on the notation used in Fig. 1b. Let  $\vec{r}_{P_1}$ ,  $\vec{r}_{P_2}$ ,  $\vec{r}_{P_3}$  and  $\vec{r}_{S_i}$  be the position vectors of the points  $P_1$ ,  $P_2$ ,  $P_3$ , and  $S_i$ , respectively. The  $\hat{O}_z$  axis vector and a second direction  $\hat{O}_y^*$  (pointing towards the  $O_y$  axis) can be

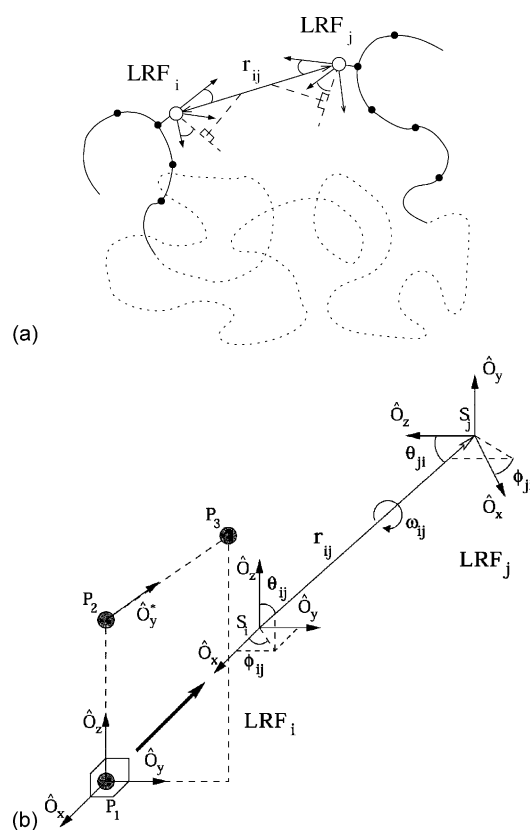


Fig. 1. Coarse-grained model for the quantitative study of the relative side chain–side chain and side chain–backbone in proteins: (a) schematic representation of the relative SC–SC 3-D coordination geometry; (b) local reference frames (LRFs) for two interaction sites  $i$  and  $j$  ( $P_1$ ,  $P_2$  and  $P_3$  are needed to define the orientation of  $\text{LRF}_i$ , which is centered in  $S_i$ ).

constructed as

$$\hat{O}_z = \frac{\vec{r}_{P_2} - \vec{r}_{P_1}}{|\vec{r}_{P_2} - \vec{r}_{P_1}|} \quad \text{and} \quad \hat{O}_y^* = \frac{\vec{r}_{P_3} - \vec{r}_{P_2}}{|\vec{r}_{P_3} - \vec{r}_{P_2}|}. \quad (1)$$

In the second step, the  $\hat{O}_x$  and  $\hat{O}_y$  axis vectors are defined using the cross products  $\hat{O}_x = \hat{O}_y^* \otimes \hat{O}_z$  and  $\hat{O}_y = \hat{O}_z \otimes \hat{O}_x$ .

The positions of the three reference points  $P_1$ ,  $P_2$  and  $P_3$  are identified for side chains with the positions of the  $C_\alpha$ ,  $C_\beta$  and  $C_\gamma$  atoms [17]. The positions of the interaction centers  $S_i$  are identified with the geometric centers (GC) of the heavy atoms in the side chains. Exceptions to these rules are made for the following special cases. (1) For Gly there is no  $C_\beta$  so we used the position of the midpoint between

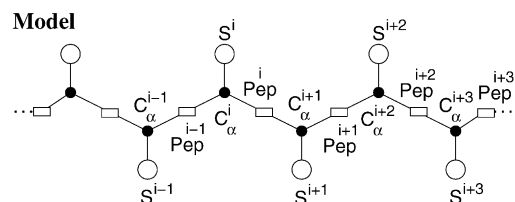


Fig. 2. Coarse-grained model: three types of particles ( $C_\alpha$ ,  $S$ , and  $\text{Pep}$ ) are needed to study the SC–SC, SC–BB and BB–BB interactions.

the neighboring  $N^i$  and  $C^i$  atoms on the backbone as  $P_1$  and  $C_\alpha^i$  is taken to be  $P_2$ . In this way, the local  $O_z$  axis is defined by the bisector of the angle defined by  $N^i$ ,  $C_\alpha^i$  and  $C^i$ . (2) Because Gly and Ala do not have  $C_\gamma$  atoms, we used the position of the backbone atom  $C^i$  as  $P_3$ . In this way, the local  $O_y$  axis is pointing in the direction defined by the backbone atoms  $C_\alpha^i$  and  $C^i$ . (3) For Cys and Ser the corresponding coordinates of the S and O atoms are substituted for the coordinates of the missing  $C_\gamma$  and are used, therefore, for defining  $P_3$ . (4) For Ile and Val, the coordinates of the midpoint between the two  $C_\gamma$  atoms are used for  $P_3$ .

These definitions have the advantage that, while being side chain dependent, the positive  $O_z$  axis is always oriented away from the local backbone while the positive  $O_y$  axis points towards more “remote”  $C_\gamma$  atoms in the SC. For small side chains,  $O_y$  points towards the next SC on the backbone sequence.

For Pep, the positions of the three reference points  $P_1$ ,  $P_2$  and  $P_3$  are identified with the positions of the carbonyl C atom, its O atom, and the peptide bond N atom. The interaction center  $S_i$  for Pep is placed in the middle of its C–N peptide link.

These definitions of the LRFs permit the investigation of relative coordination probabilities (e.g. for hydrogen bonding) as well as of hydrophobic effects in side chain packing.

## 2.2. Orientational probability maps

To extract and build orientation-dependent potentials from PDB structures we need to obtain the relative SC–SC, SC–BB and BB–BB orientational distributions from protein structures [17]. This data can be expressed as normalized relative orientational probability maps that are specific for each pair of interaction sites. For the set of non-homologous proteins used by Scheraga and co-workers [24–26], the orientational histograms were collected using  $N = 12$  bins for the range of the  $\theta$  angle and  $2N$  bins for  $\phi$  in the corresponding LRFs. Since all the protein structures analyzed have a resolution of 2 Å or better, the choice of bin sizes ensures a high confidence level of correct angular bin assignment (80% at a distance of at least 4.5 Å) [17].

The extracted SC–SC pair frequencies are transformed to SC–SC distance- and orientation-dependent interaction probabilities  $P^{ij}(r, \phi, \theta)$  by normalization. In the case of 3-D orientation-dependent data, the measured frequencies must also be divided by  $\sin(\theta_k)$  to correct for the smaller volume elements near the poles when  $k$  equiangular intervals are used for the  $\theta$  angle in the corresponding LRF. Because the amount of data available is relatively small for conventional statistical procedures, we employed the “sparse data correction” formula of Sippl [8,12] that builds the correct probability densities as linear combinations between the measured data and the reference, total probability densities obtained by averaging over all 20 SC types. As in previous studies [8,27,28], we used the value 1/50 for the constant  $\sigma$ ,

which corresponds to how many actual measurements must be observed such that both the actual probabilities and the reference would have equal weights.

## 2.3. The orientation-dependent potentials: the Boltzmann device

We used the Boltzmann device [8,12] to construct statistical orientational potentials from the orientational probability maps. This approach is based on the assumption that the known protein structures from protein databases (such as PDB [2]) correspond to classical equilibrium states. The SC–SC potentials can be, therefore, related to position pair distribution functions  $g(r)$  by the relation

$$U_D^{ij}(r) = -kT \ln \left[ \frac{g^{ij}(r)}{g_{\text{ref}}(r)} \right] \quad (2)$$

for the distributions depending only on distances. We define a more general distance- and orientation-dependent potential

$$U_{\text{DO}}^{ij}(r, \phi, \theta) = -kT \left[ \frac{P^{ij}(r, \phi, \theta)}{P_{\text{ref}}(r, \phi, \theta)} \right] \quad (3)$$

Here, we use  $U_{\text{DO}}$  for the statistical potentials that are both distance- and orientation-dependent, and  $U_D$  for potentials that depend solely on inter-residue distances. To be consistent with previous studies, we consider the reference pair distribution functions  $g_{\text{ref}}$  to be the corresponding radial or angular pair distributions that are obtained through an analysis of all 20 residue types. Databases of non-homologous proteins are necessary for estimating the pair distributions and for extracting amino acid specific interaction potentials that are consistent with various protein architectures.

The total potential for the residue pair  $ij$  is

$$U_{\text{DO}}^{ij}(r_{ij}, \phi_{ij}, \theta_{ij}, \phi_{ji}, \theta_{ji}) = U_{\text{DO}}^{ij}(r_{ij}, \phi_{ij}, \theta_{ij}) + U_{\text{DO}}^{ji}(r_{ij}, \phi_{ji}, \theta_{ji}) \quad (4)$$

where pairwise additivity is assumed. Eq. (4) is based on the major assumption of pairwise additivity of the inter-residue potentials in proteins. For Boltzmann equilibrium, this separability is consistent with the probabilistic relation between the individual probabilities  $P^{ij}(r_{ij}, \phi_{ij}, \theta_{ij})$  and  $P^{ji}(r_{ji}, \phi_{ji}, \theta_{ji})$  (estimated from the observed frequencies of interaction), and the total interaction probability  $P^{ij}(r_{ij}, \phi_{ij}, \theta_{ij}, \phi_{ji}, \theta_{ji})$  [17]. The dependence of the  $U_{\text{DO}}^{ij}$  potentials on the torsional angle around  $r_{ij}$  (see Fig. 3 in [17]) is averaged out. The results suggest that there is no effect of the assumption that the interaction terms can be truncated as in Eq. (4) on the accuracy of the  $U_{\text{DO}}$  potentials.

## 2.4. Spherical harmonic analysis (SHA) and synthesis (SHS) of discrete potentials defined on spherical domains

The orientational dependence of the new inter-residue coarse-grained potentials can be expressed in terms of functions defined on spherical domains. For each interaction

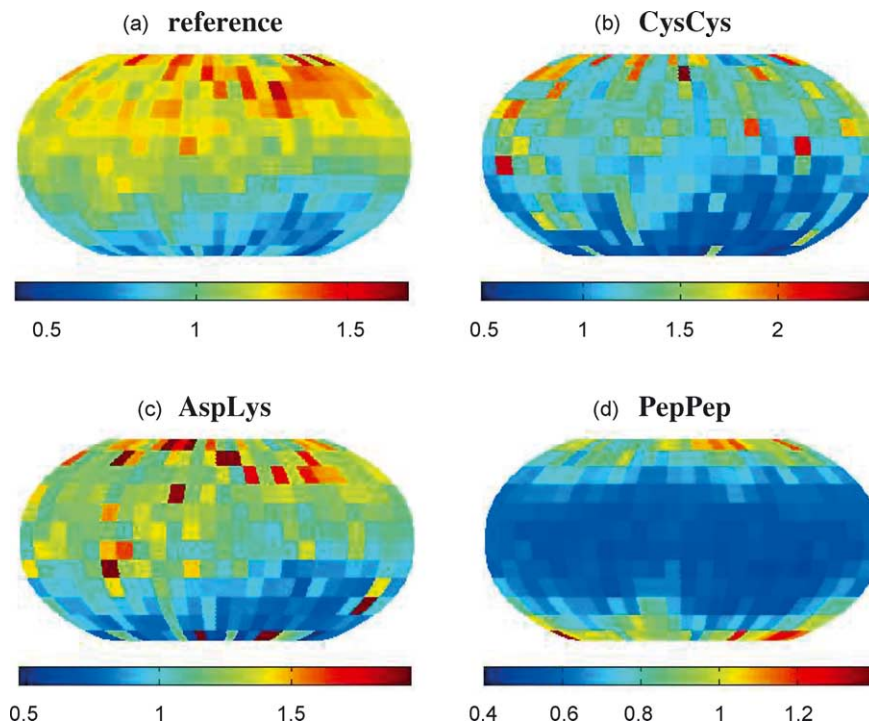


Fig. 3. Examples of orientation-dependent probability maps constructed for short-range interactions on a  $12 \times 24$  angular grid. These graphical representations provide a global view of the interaction probabilities.

range, the angular dependent  $U_{DO}$  potentials are functions of the  $\theta$  and  $\phi$  polar angles defined in the corresponding LRFs of the amino acids [17]. These potential functions can be decomposed using

$$U(\theta, \phi) = \sum_{m,n} c_{mn} Y_{nm}(\theta, \phi) \quad (5)$$

where  $Y_{nm}$  are complex spherical harmonics [29] and  $c_{mn}$  are the expansion coefficients. This formula is valid only for functions  $U(\theta, \phi)$  that have “well-behaved” continuity properties over the entire angular range. In practice, it is convenient to use a series with real even and odd eigenfunctions, namely,

$$U(\theta, \phi) = \sum_{m,n} [a_{mn} Y_{nm}^o(\theta, \phi)] + b_{mn} Y_{nm}^e(\theta, \phi). \quad (6)$$

This approach was successfully used for the accurate description of the geomagnetic field of the Earth [29].

We employed the technique developed by Adams and Swarztrauber and implemented in the FORTRAN package, Spherpac [30,31] which addresses problems associated with orthogonality at grid points and the non-uniform distribution of discrete data points. Though they were initially developed for geophysical processes, the Spherpac routines are general and can be successfully used to analyze the data extracted from protein structures, as follows. Let  $N$  be the number of grid points corresponding to sampling the data along the  $\theta$  angle. We use  $2(N-1)$  grid points for  $\phi$  [31]. These sampling points are placed on the following

equiangular grid

$$\begin{aligned} \theta_i &= i\Delta\theta - \frac{\pi}{2}, \quad i = 0, 1, \dots, N-1, \\ \Delta\theta &= \frac{\pi}{N-1}; \quad \phi_j = j\Delta\phi, \\ j &= 0, 1, \dots, 2N-1, \quad \Delta\phi = \Delta\theta \end{aligned} \quad (7)$$

Assuming that the angular dependent potential function is sufficiently smooth, one can perform its spherical harmonic analysis (SHA) and find the corresponding coefficients

$$a_{mn} = \alpha_{mn} \int_0^{2\pi} \int_{-\pi/2}^{\pi/2} U(\theta, \phi) P_n^m(\cos\theta) (\cos m\phi) \cos\theta \, d\phi \, d\theta \quad (8)$$

$$b_{mn} = \alpha_{mn} \int_0^{2\pi} \int_{-\pi/2}^{\pi/2} U(\theta, \phi) P_n^m(\cos\theta) \sin(m\phi) \cos\theta \, d\phi \, d\theta \quad (9)$$

where  $P_n^m$  are the associated Legendre functions and  $\alpha_{nm} = [(2n+1) \times (n-m)!] / [2\pi(n+m)]$  [29,30]. If the coefficients  $a_{nm}$  and  $b_{nm}$  are known, the corresponding smooth potential function  $U(\theta, \phi)$  can be reconstructed using spherical harmonics synthesis (SHS)

$$U(\theta, \phi) = \sum_{n=0}^N \sum_{m=0}^{n'} P_n^m(\cos\theta) [a_{mn} \cos(m\phi)] + b_{mn} \sin(m\phi) \quad (10)$$

The prime notation [30] on the sum indicates that the first term corresponding to  $m=0$  must be multiplied by 0.5.



This method of spherical harmonic analysis provides a realistic representation, through spherical harmonic synthesis, of the orientation-dependent statistical potentials as smoothed, continuous functions.

### 3. Results

#### 3.1. Orientational probability density maps

Orientational probability density maps were constructed by dividing the interaction range into three regions, and using a  $12 \times 24$  equiangular grid ( $\theta \times \phi$ ) as described above, following the method introduced in [17]. Fig. 3 shows probability density maps in the short range (2.0–5.6 Å) of interactions. The color mapping is directly proportional to the probability of finding another side chain at a given orientation, as shown in the color bars. High interaction probability values appear as red while small probabilities are represented as blue.

The representations in Fig. 3 use pseudo-cylindrical orthographic projections (a.k.a. Robinson projections) of the data values over the entire spherical ( $\theta, \phi$ ) domain. These projections are commonly employed to represent mapping data for spherical geoids. The probability map in Fig. 3a was used as reference, constructed by averaging over interaction frequencies counted for all the 20 amino acid types. It is noticeable that there are relatively higher interaction probabilities toward the “north pole” (i.e. the positive  $O_z$  axis, pointing away from the local backbone) for this reference state. This is a manifestation of the finite size and compact packing of the protein structures. The probability maps constructed for Cys–Cys, Asp–Lys and Pep–Pep interactions are shown in Fig. 3b–d. The specific locations of statistically preferred interaction loci are observable. In particular, the Asp–Lys representation presents a few preferred directions for this type of SC–SC interaction. Orientations preferred for hydrogen bonding are clearly visible in the Pep–Pep probability maps. Propensity for disulfide bond formation manifests itself in the high probability in the polar region of the Cys–Cys probability map. For BB–BB interactions, we observe high interaction probabilities along the  $O_z$  direction, as expected. These features become more pronounced in the representations of the corresponding statistical potentials constructed from these probability maps.

#### 3.2. Continuous representations of orientation-dependent potentials

The orientation-dependent statistical potentials derived using the Boltzmann device were further analyzed using spherical harmonic analysis. Spherpac routines [30,31] were adapted and employed for the numerical analysis of the potential data, which was first constructed on a  $12 \times 24$  equiangular grid on spherical domains corresponding to the three (i.e. short, middle and long) interaction ranges.  $a_{mn}$

and  $b_{mn}$  expansion coefficients were computed up to order  $n = 13$  ( $m \leq n$ ). The analysis of all  $21 \times 21$  types of orientational potentials was performed and the  $a_{mn}$  and  $b_{mn}$  coefficients were stored. Calculation of the expansion coefficients ( $a_{mn}$  and  $b_{mn}$ , see Eq. (6)) is vital because it permits the rapid calculation of each specific orientational potential by spherical harmonic synthesis for any value of the LRF orientational parameters  $\theta$  and  $\phi$ . Importantly, not many  $a$  and  $b$  coefficients have large amplitudes suggesting that further filtering methods can be applied, and that efficient computational methods employing the new smooth potentials resulting from SHS can be developed. In Fig. 4 are shown potential projection maps. For Cys–Cys interactions to investigate the orientational preferences expected for disulfide bonds. The images in each row correspond to the same radial interaction range (e.g. the first row is for short-range, second row for middle-range, and third row for long-range interactions). The first column (i.e. Fig. 4a,d and g) represents the “raw” values ( $U_{\text{DO21}}$  for Cys–Cys) of the statistical potentials constructed directly from the corresponding probability maps using the Boltzmann device. The second column (i.e. Fig. 4b,e and h) represents the values of the statistical potentials reconstructed by using the SHA/SHS method ( $U_{\text{DO21s}}$ ). Finally, in the third column (i.e. Fig. 4c,f and i) are shown the values of the statistical potentials reconstructed by using the same spherical harmonic analysis and synthesis method (on a much more detailed  $96 \times 192$  equiangular grid)

For comparison, in Fig. 5 are shown the corresponding potential projection maps constructed for Gly–Gly interactions. The arrangement in columns and rows has the same significance as in Fig. 4. It is noticeable that due to the very small size of Gly, Gly–Gly interactions are described by a weak orientational preference when compared with Cys–Cys interactions. The magnitudes of the interaction ranges are shown in the horizontal color bar under each figure. Note that the magnitudes of the Gly–Gly interactions are generally much smaller, and present a different distance-dependence than in the case of Cys–Cys interactions, as expected.

We also show in Fig. 6 the corresponding potential projection maps constructed for Asp–Lys interactions. It is noticeable that the salt bridges that are likely to be formed between Asp and Lys, confer to the Asp–Lys potentials strong orientational statistical preferences in this interaction range. The strength of the attractive (blue) regions, as shown in the color bars, is also significantly larger than for Gly–Gly potentials shown in Fig. 5.

In Fig. 7 are shown 3-D representations of the orientation-dependent potentials for Pep–Pep (Fig. 7a) and for Gly–Gly (Fig. 7b) interactions. As above, the attractive regions are blue and the repulsive regions are red. The orientation-dependent potential values for short-range interactions are projected on the surface of a spherical geoid centered in the middle of the peptide bond for Pep–Pep or in the  $C_\alpha$  for Gly–Gly interactions. This type of 3-D representation offers a useful way to visualize the locations of the orientational interaction loci with respect to the atomic

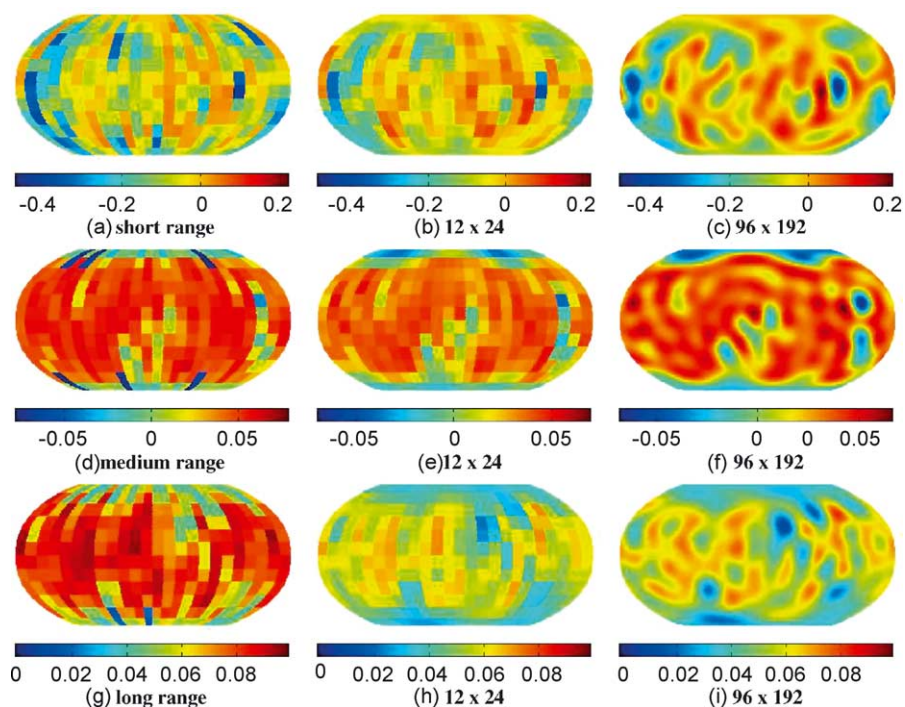


Fig. 4. Cys–Cys potentials. The images in each row correspond to the same radial interaction range. The first column represents the raw potentials, in the second column are potentials reconstructed with SHS on a  $12 \times 24$  angular grid, and in the third are shown potentials reconstructed on a  $96 \times 192$  angular grid.

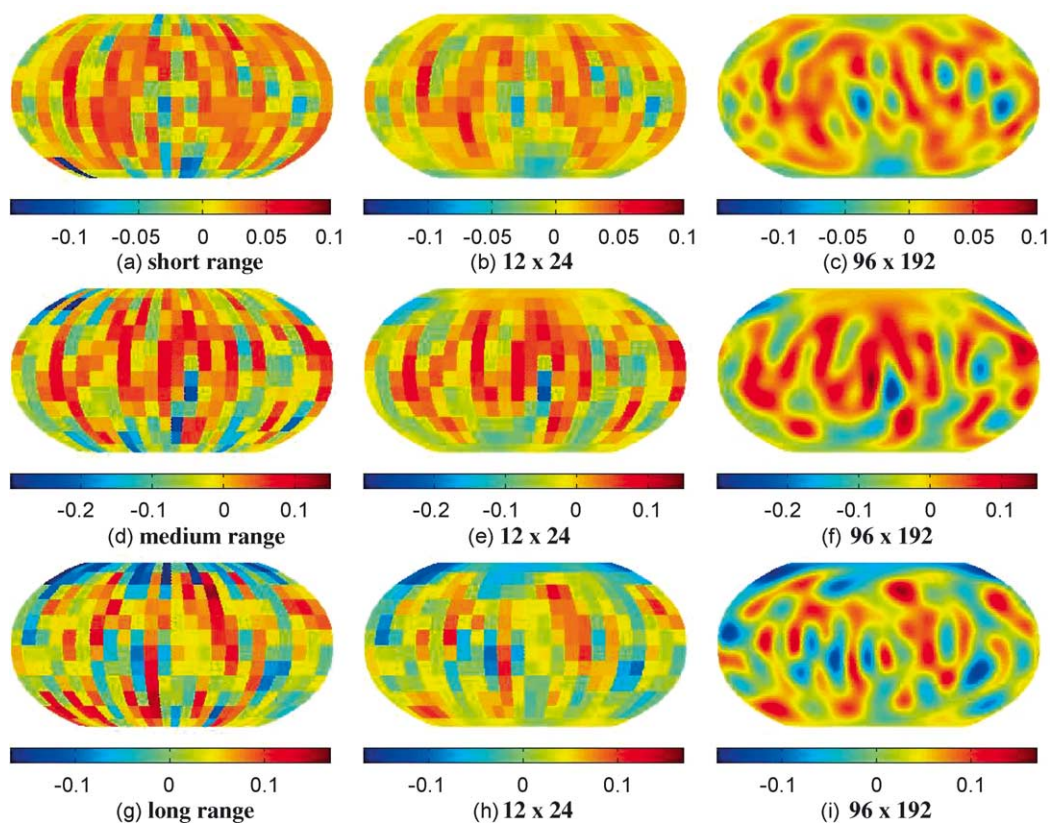


Fig. 5. Gly–Gly potentials. The images in each row correspond to the same radial interaction range. The first column represents the raw potentials, in the second column are potentials reconstructed with SHS on a  $12 \times 24$  angular grid, and in the third are shown potentials reconstructed on a  $96 \times 192$  angular grid.

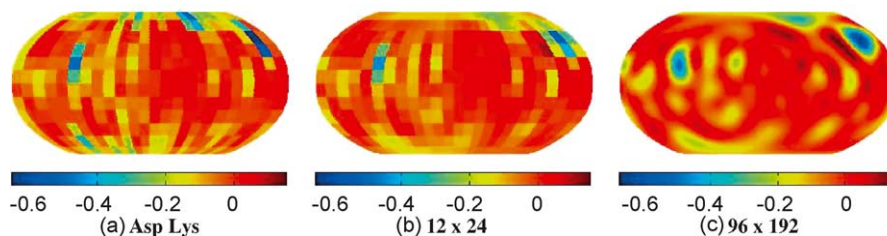


Fig. 6. Asp–Lys potentials for short-range radial interactions: (a) the raw potentials  $U_{DO21}$ , (b) potentials reconstructed with SHS on a  $12 \times 24$  angular grid, and (c) the orientation-dependent  $U_{DO21s}$  potentials reconstructed on a  $96 \times 192$  angular grid.

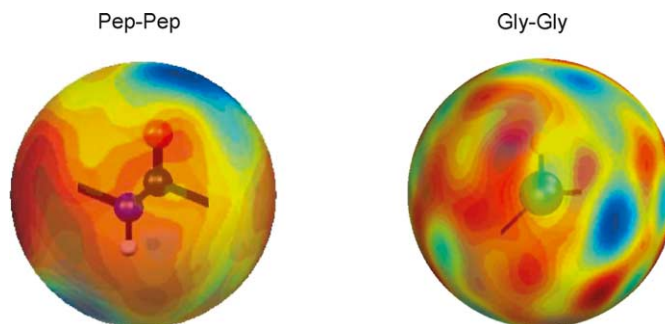


Fig. 7. 3-D representations of the orientation-dependent potentials for Pep–Pep and Gly–Gly interactions. The attractive (blue) and repulsive (red) potentials values are projected on the surface of a spherical geoid centered in the middle of the peptide bond for Pep–Pep or in the  $C_{\alpha}$  for Gly–Gly interactions. The combined graphical representation of the “ball and stick” peptide bond and glycine  $\alpha$ -carbon with a translucent sphere of projected potential energy, clearly represents the correlation between structure and orientational dependence of the interaction potential energy.

positions. However, such a representation is relatively difficult to implement for long side chains.

Due to the local reference frame definitions, the centers of the potential geoid surfaces should be located in the geometric center of the heavy atoms of the side chain.

In Fig. 8, is shown an alternative spherical contour plot representation of the orientation-dependent potentials for Cys–Cys interactions, from two diametrically opposite points of view. This representation is useful in cases when only a few interaction loci are found.

Finally, in Fig. 9 are shown 3-D representations of the reconstructed short-range orientation-dependent potentials

$U_{DO21s}$  for several types of interactions. Fig. 9a shows the values of the  $U_{DO21s}$  potentials reconstructed for Asp–Lys on a  $12 \times 24$  equiangular grid. In Fig. 9b, the same Asp–Lys potentials are reconstructed with a resolution that is eight times more detailed than the original raw data. The same  $96 \times 192$  equiangular grid is used for the representations of Asp–Lys, Ile–Arg, Pep–Pep, and Cys–Cys interactions in Fig. 9c–f. In these representations, the magnitude of the potentials is proportional to both the radius from the center of each local reference frame and to the color (i.e. red for repulsive and blue for attractive regions). It is therefore, possible to create 3-D shapes that would correspond both

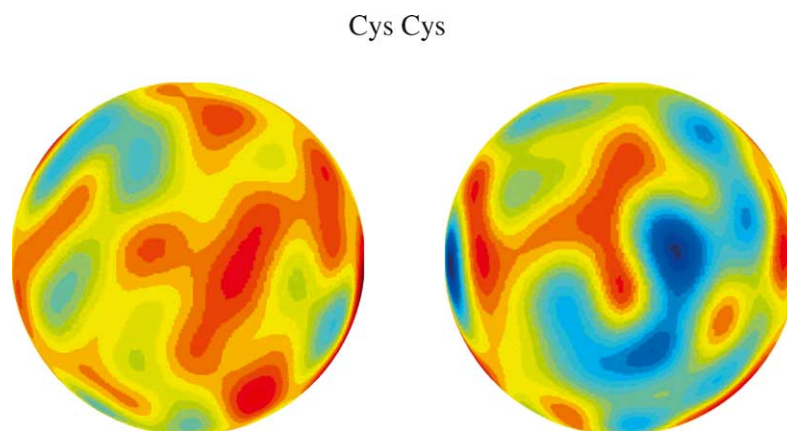


Fig. 8. Front and back views of the orientation-dependent potentials for Cys–Cys interactions.



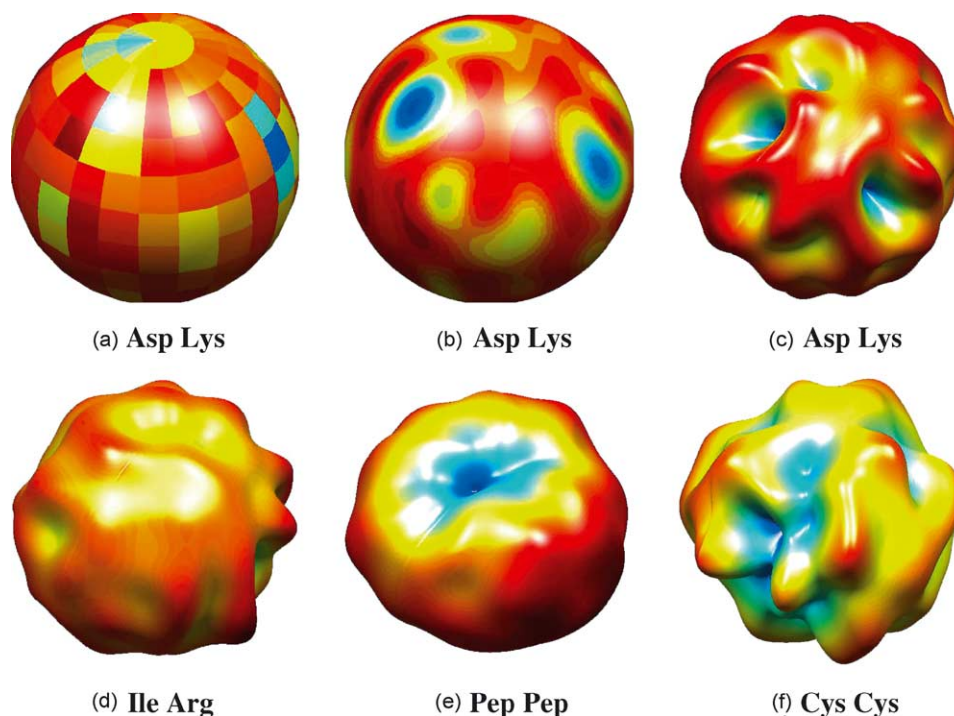


Fig. 9. 3-D representations of the short-range orientation-dependent potentials  $U_{DO21s}$  constructed for: (a) Asp–Lys on a  $12 \times 24$  equiangular grid, (b) Asp–Lys on a  $96 \times 192$  equiangular grid, (c) Asp–Lys, (d) Ile–Arg, (e) Pep–Pep, and (f) Cys–Cys. In the graphical representations (c) to (f), the magnitude of the interaction potentials is proportional to both the radius from the center of each local reference frame and to the color (i.e. red for repulsive and blue for attractive regions).

qualitatively and quantitatively to the relative strengths and specific features of each SC–SC, SC–BB and BB–BB interaction type.

### 3.3. Decoy tests: improved $Z$ score values

To assess the efficacy of the reconstructed orientational potentials, we performed tests for discriminating the native state from multiple decoy sets [17,20]. The results were obtained for testing the ability of our statistical potentials to discriminate the native structure of a protein from large sets of multiple decoy structures generated for the same protein sequence, using the decoy database of Samudrala and Levitt [20]. As in [17], the results are shown in terms of the values of the energy  $Z$  scores ( $Z_E$ ), defined as

$$Z_E = \frac{E - \bar{E}}{\sigma_E} \quad (11)$$

where  $\sigma_E$  is the standard deviation and  $\bar{E}$  is the mean of the distribution of  $E$  energy values corresponding to each decoy structure. For comparing the performance (and for studying the effect of smoothing) of the interaction potentials on sets of decoy structures, we calculate the energy  $Z_E$  scores both for the raw, backbone-dependent  $U_{DO21}$  potentials, and for their reconstructed and smoothed versions  $U_{DO21s}$ . Note that for a successful test of the interaction potentials, the  $Z_E$  score must be negative (i.e. the energy of the native state must have a lower value than the mean energy of the decoys).

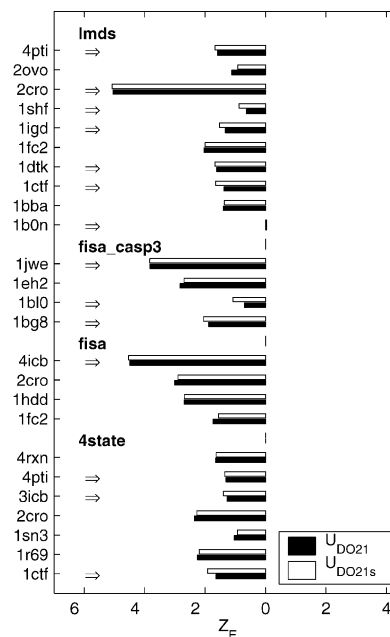


Fig. 10. Results from decoy tests. The energy  $Z$  scores ( $Z_E$ ) calculated for multiple decoy sets [20,32–35] “lmds”, “fisa\_casp3”, “fisa” and “4state” are compared before ( $U_{DO21}$ ) and after ( $U_{DO21s}$ ) applying the SHA/SHS method. The PDB code for each protein decoy set is shown on the left. The dark bars correspond to  $U_{DO21}$  and the white bars are for  $U_{DO21s}$ . The cases where the  $U_{DO21s}$  potentials perform better in discriminating the native state from decoys are emphasized by the arrows on the left. For a majority of decoy sets, the performance of the  $Z_E$  score is actually improved by using the spherical harmonic representation.



The data in Fig. 10 shows the results of these decoy tests. The energy  $Z$  scores ( $Z_E$ ) were calculated for the multiple decoy sets [20,32–35] “lmds”, “fisa\_casp3”, “fisa” and “4state”. We compared the  $Z_E$  values obtained before ( $U_{DO21}$ ) and after ( $U_{DO21s}$ ) applying the smoothing reconstruction.

The dark bars correspond to  $U_{DO21}$  and the white bars are for  $U_{DO21s}$ . The cases where the  $U_{DO21s}$  potentials perform better in discriminating the native state from decoys are emphasized by the arrows on the left. While both potentials ( $U_{DO21}$  and  $U_{DO21s}$ ) perform similarly well (i.e. they have negative  $Z_E$  scores), for a majority of decoy sets, the performance is actually improved by using the spherical harmonic representation. While there is an intrinsic information loss introduced [30,31], the potential smoothing that results appears to marginally improve the performance of the orientation-dependent potentials.

These results show that the smoothing of the orientational potential using the spherical harmonic analysis and synthesis approach does not necessarily lead to a loss of accuracy. In practice, it can actually lead to continuous, more realistic and computationally efficient representations of the orientation-dependent, coarse-grained interactions.

#### 4. Conclusions

We have developed a method for building coarse-grained potentials using a generalized distance- and orientation-dependent statistical approach. We have successfully applied this method to develop a simple conformational model of proteins and small peptides that includes in an explicit manner the relative orientations of the SC–SC, SC–BB, and backbone–backbone (BB–BB) interactions. We have shown [17] that the performance of energy based scoring functions can be improved by using statistical information extracted from the relative residue–residue orientations. Our new results, obtained for this new set of anisotropic potentials with only three radial interaction ranges (the previous version [17] had more radial bins), demonstrate that the statistical data extracted from protein structural databases can be successfully used to build orientation-dependent potentials that have sufficient continuity properties to make possible their spherical harmonic analysis. The resulting smooth, continuous interaction potentials are represented using separate spherical harmonic expansions of the orientation-dependent potential for short-, medium- and long-range interactions.

The new potentials were tested on a standard database of artificially generated decoy structures [20]. Although there is an intrinsic information loss introduced by the spherical harmonic analysis and synthesis [30,31], the new continuous orientation-dependent potentials lead to results that are consistent with, and in many cases marginally improved, when compared to the raw potentials constructed directly from orientational interaction probabilities. These results show that the smoothing of the orientational potentials using the

SHA/SHS approach does not necessarily lead to a loss of accuracy. In practice, it can lead to continuous, more realistic and efficient representations of the orientation-dependent, coarse-grained interactions.

A variety of graphical representations have been developed to effectively portray the orientational dependence of the statistical interaction potentials. These representations should be of value in comparative studies of orientational dependent potential functions for molecular fluids as well as proteins.

From a computational point of view, there are potential benefits both for free energy calculations and for coarse-grained dynamical simulations that might employ the continuous, smoother statistical potentials. The memory requirements for storing the spherical harmonic coefficients, as opposed to the raw orientational data, are smaller. In addition, the values of the potentials can be readily computed for any values of the  $\theta$  and  $\phi$  orientational parameters specified over the entire spherical domain. The new continuous distance- and orientation-dependent statistical potentials could be instrumental in developing more efficient computational methods for protein structure prediction as well as for Monte Carlo or molecular dynamics simulations of coarse-grained models of peptides and proteins.

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