



# Salt Effects on the Thermodynamics of a Frameshifting RNA Pseudoknot under Tension

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## Abstract

Because of the potential link between  $-1$  programmed ribosomal frameshifting and response of a pseudoknot (PK) RNA to force, a number of single-molecule pulling experiments have been performed on PKs to decipher the mechanism of programmed ribosomal frameshifting. Motivated in part by these experiments, we performed simulations using a coarse-grained model of RNA to describe the response of a PK over a range of mechanical forces ( $f$ ) and monovalent salt concentrations ( $C_s$ ). The coarse-grained simulations quantitatively reproduce the multistep thermal melting observed in experiments, thus validating our model. The free energy changes obtained in simulations are in excellent agreement with experiments. By varying  $f$  and  $C_s$ , we calculated the phase diagram that shows a sequence of structural transitions, populating distinct intermediate states. As  $f$  and  $C_s$  are changed, the stem–loop tertiary interactions rupture first, followed by unfolding of the 3′-end hairpin ( $I \rightleftharpoons F$ ). Finally, the 5′-end hairpin unravels, producing an extended state ( $E \rightleftharpoons I$ ). A theoretical analysis of the phase boundaries shows that the critical force for rupture scales as  $(\log C_m)^\alpha$  with  $\alpha=1$  (0.5) for  $E \rightleftharpoons I$  ( $I \rightleftharpoons F$ ) transition. This relation is used to obtain the preferential ion–RNA interaction coefficient, which can be quantitatively measured in single-molecule experiments, as done previously for DNA hairpins. A by-product of our work is the suggestion that the frameshift efficiency is likely determined by the stability of the 5′-end hairpin that the ribosome first encounters during translation.

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## Introduction

The multifarious roles RNA molecules play in controlling a myriad of cellular functions [1] have made it important to understand in quantitative terms their folding [2–6] and how they respond to external stresses [7]. Among them, one of the simplest structural motifs is the RNA pseudoknot (PK), which is involved in many biological functions. The simplest type, referred to as H-type PK, consists of two stems connected by two loops in which one of the stems forms base pairs with the loop of the other. The PK motif, found in many RNA molecules such as telomerase [8], mRNA [9], ribosomal RNA [10], transfer-messenger RNA, and viral RNA [11], is functionally important [12]. For instance, a PK in the human telomerase RNA is essential for enzyme (a ribonucleoprotein complex) activity [13]. Many pathogenic mutations in the human telomerase RNA are found in the well-conserved PK region of the

RNA, further underscoring the importance of the PK [8,13]. The presence of PKs is also important in ribozyme catalysis and inhibition of ribosome translation.

Recently, there is heightened interest in the biophysics of PK folding because it plays a crucial role in affecting the efficiency of  $-1$  programmed ribosomal frameshifting ( $-1$  PRF) [14–16]. Usually, proteins are synthesized when the ribosome reads the mRNA code in three nucleotide steps until the stop codon is reached. In  $-1$  PRF, the open reading frame of mRNA being translated within the ribosome is programmed to be shifted by one nucleotide, and consequently, the mRNA becomes nonfunctional or produces an entirely different protein [5,17–20]. The downstream PK of the mRNA is a roadblock at the entrance to the mRNA channel on the ribosome, which impedes the translocation of the ribosome. The ribosome must unfold the PK, presumed to occur by exertion of mechanical force, to complete translocation.

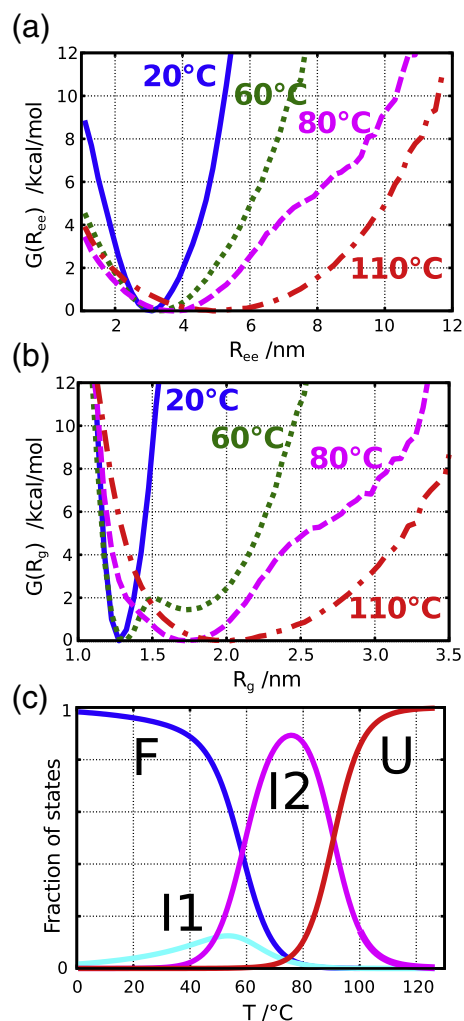


## Results and discussion

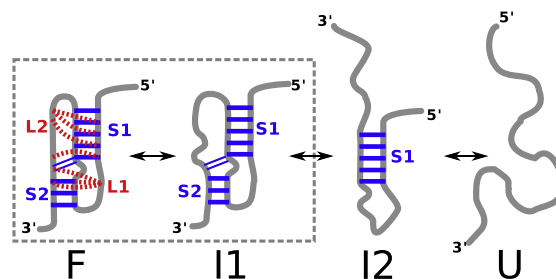
### Temperature-induced transitions in BWYV PK

In order to validate our model, we first performed temperature replica-exchange molecular dynamics (REMD) simulations at  $C = 500$  mM with  $f = 0$ . Formations of stems (S1 and S2) and tertiary (stem-loop) interactions (L1 and L2) are characterized by hydrogen bond (HB) energies  $U_{\text{HB}}^{\text{S}}$  and  $U_{\text{HB}}^{\text{L}}$ , respectively. Changes in the distributions of HB energies as the temperature is increased are shown in Fig. S1 in the Supporting Information. Fig. S1 shows that there are two minima corresponding to two distinct states. The minimum that dominates at  $T = 20$  °C corresponds to the F state in which both S1 and S2 are formed ( $U_{\text{HB}}^{\text{S}} \approx -45$  kcal/mol). The minimum with  $U_{\text{HB}}^{\text{S}} \approx -30$  kcal/mol at 80 °C corresponds to a state in which S1 is intact (Fig. S1). The free energy profile of  $U_{\text{HB}}^{\text{L}}$  shows only one possible minimum around  $U_{\text{HB}}^{\text{L}} \approx -20$  kcal/mol at  $T = 20$  °C, indicating that L1 and L2 fold/unfold cooperatively as temperature changes.

We calculated the free energy profiles  $G(R_\alpha) = -k_B T \log P(R_\alpha)$  ( $R_\alpha = R_{\text{ee}}$ , end-to-end distance, or  $R_g$ , radius of gyration) from the distributions of  $P(R_\alpha)$ , which are given in Fig. S2 in the Supporting Information. The locations and the number of minima report on the thermodynamic transitions between the states (Fig. 2). (1) At a low temperature,  $T = 20$  °C, the PK is completely folded (F state) including all the structural motifs, S1, S2, L1, and L2. The existence of F state is also confirmed by the presence of a minimum at  $R_{\text{ee}} \approx 3$  nm (Fig. 2a) and at  $R_g \approx 1.3$  nm (Fig. 2b). These values are similar to those obtained directly from the crystal structure ( $R_{\text{ee}}^{\text{native}} = 2.8$  nm,  $R_g^{\text{native}} = 1.3$  nm). (2) At  $T = 60$  °C, the free energy profile for  $U_{\text{HB}}^{\text{L}}$  shows that some of the tertiary interactions, involving L1 and L2, are no longer stably formed (Fig. S1B). On the other hand,  $G(R_g)$  shows two stable minima, indicating that there are two possible states (Fig. 2b). In one state, both stems S1 and S2 are formed, but tertiary interactions are absent, corresponding to the I1 state identified using UV absorbance and differential scanning calorimetry experiments (Fig. 3) [30,31]. The other conformation, in which only S1 is formed, has been also observed in experiments and is referred to as the I2 state. It should be noted that the distribution of  $U_{\text{HB}}^{\text{S}}$  also has two minima at 60 °C (Fig. S1A), whereas  $G(R_{\text{ee}})$  has only one minimum (Fig. 2a). (3) At a still higher temperature  $T = 80$  °C,  $G(R_{\text{ee}})$  and  $G(R_g)$  each have a minimum with S1 stably formed. This is also reflected in Fig. S1, which shows a minimum at  $U_{\text{HB}}^{\text{S}} \approx -30$  kcal/mol and a minimum at  $U_{\text{HB}}^{\text{L}} \approx 0$ . Thus, completely unfolded conformations, U state, and the I2 state are populated. (4) At  $T = 110$  °C, both  $U_{\text{HB}}^{\text{S}}$  and  $U_{\text{HB}}^{\text{L}}$  are 0, indicating that only the U



**Fig. 2.** Characteristics of the thermally induced folding-unfolding transition of BWYV PK simulated using the temperature-REMD method at  $C = 500$  mM and  $f = 0$ . (a and b) Free energy profiles of the molecular extension (a;  $R_{\text{ee}}$ ) and radius of gyration (b;  $R_g$ ) at 20, 60, 80, and 110 °C. Each curve is normalized to  $G = 0$  at its probability  $P(R)$  maximum. (c) Temperature dependence of the fraction of the four prominent states, which are explicitly labeled.



**Fig. 3.** Schematic of the thermodynamic assembly of BWYV pseudoknot inferred from simulations at zero force. Besides folded (F) and unfolded (U) states, there are two intermediate state, I1 and I2. The topology of the I1 state is the same as F, except tertiary interactions are absent.

state exists. This is also reflected in  $G(R_{ee})$  and  $G(R_g)$ , which show that the PK is completely unfolded. In both profiles (Fig. 2a and b), the center of minimum is located at larger values than in the F state ( $R_{ee} \approx 5$  nm and  $R_g \approx 2$  nm).

The simulation results in Fig. 2 and S1 show that the melting of BWYV PK undergoes through two intermediate states,  $F \rightleftharpoons I1 \rightleftharpoons I2 \rightleftharpoons U$ , where I1 has the topology of the F state but without significant tertiary interactions (Fig. 3). Although simulations can be used to distinguish I1 and F states, the intermediate does not have significant population. The identification of I1 in our simulations provides a structural support to experiments, in which I1 was inferred from three broad overlapping peaks in the heat capacity curves [30,31]. It should be stressed that the experimental heat capacity curves exhibit only two discernible peaks, which would normally imply that there is only one intermediate present. The range of melting temperatures for the three transitions can be obtained from the temperature dependence of the various states  $f_\alpha(T)$  ( $\alpha = F, I1, I2$  or  $U$ ) given in Fig. 2c. The I1 state has a maximum population around  $T \approx 50$  °C. We equate this with the melting temperature  $T_{m1}$  associated with the  $F \rightleftharpoons I1$  transition. Similarly,  $T_{m2}$  for the  $I1 \rightleftharpoons I2$  transition is  $\approx 70$  °C. The  $T_{m3}$  for the  $I2 \rightleftharpoons U$  transition occurs around 90 °C ( $f_{I2}(T_{m3}) = f_U(T_{m3})$ ). The values of the three melting temperatures obtained in our simulations are in remarkable agreement with experiments ( $T_{m1}^{exp.} = 59.4$  °C,  $T_{m2}^{exp.} = 69.4$  °C, and  $T_{m3}^{exp.} = 91.2$  °C taken from results of a differential scanning calorimetry experiment at pH of 7 in Table 1 of Nixon and Giedroc [30]).

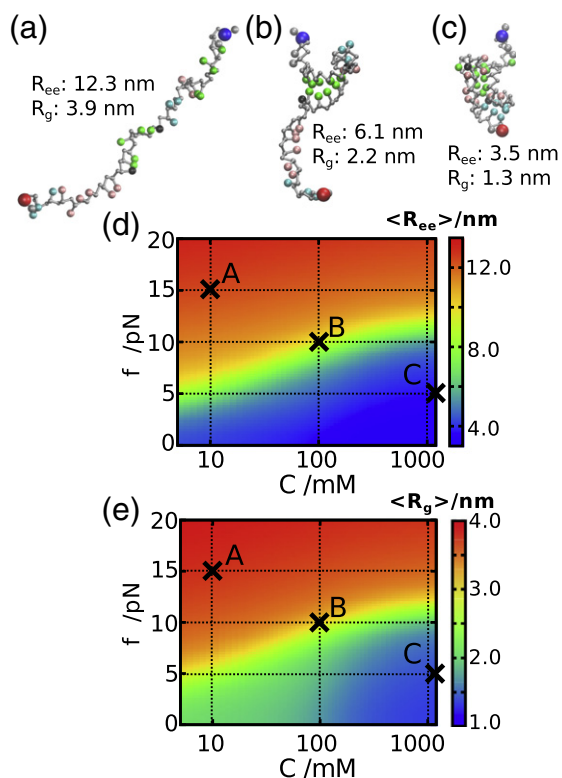
The dependence of the stability of the F state with respect to the U state,  $\Delta G_{UF}(T)$  is calculated using a procedure that does not rely on any order parameter [37]. The value of  $\Delta G_{UF}(T=37$  °C) is  $-14.3$  kcal/mol from simulations, which is in excellent agreement with experiments. The experimental value reported by Nixon and Giedroc for  $\Delta G_{UF}(T=37$  °C) is  $-13.3$  kcal/mol, whereas Soto *et al.* estimated that  $\Delta G_{UF}(T=37$  °C) is  $-15.1$  kcal/mol [30,31]. We also predict that  $\Delta G_{UF}(T=25$  °C) is  $-19.0$  kcal/mol. The excellent agreement between simulations and experiments for the temperature-induced structural transitions and the  $\Delta G_{UF}(T)$  validates the model, allowing us to predict the effect of  $f$  on BWYV PK.

### Diagram of states in the $[C, f]$ plane

In order to obtain the phase diagram in the  $[C, f]$  plane of the BWYV PK, we performed a series of low friction Langevin dynamics simulations by varying the salt concentration from 5 to 1200 mM and the mechanical force from 0 to 20 pN, at a constant temperature of 50 °C. We varied  $C$  by changing the Debye length in the simulations, and the mechanical

force was externally applied to the terminal nucleotides (Fig. 1a).

Determination of the phase diagram in the  $[C, f]$  plane requires an appropriate order parameter that can distinguish between the various states. In single-molecule pulling experiments, the variable that can be measured is the molecular extension,  $R_{ee}$ , which is conjugated to the applied force. The overall size of the RNA molecule is assessed using the radius of gyration,  $R_g$ . Therefore, we characterized the states of the RNA using both  $R_g$  and  $R_{ee}$  as order parameters. The values of the predicted  $R_g$  at  $f = 0$  can be measured using scattering experiments. Using these two parameters, we obtained the  $[C, f]$  phase diagram (Fig. 4). Comparison of the diagram of states in Fig. 4d and e reveals common features and some differences. From Fig. 4d and e, we infer that at  $f > 12.5$  pN, extended (E) conformations are dominant at all values of  $C$ . As the force decreases, the PK forms compact structures. The boundary separating the extended and relatively compact phases depends on the salt concentration and the value of the mechanical force. The critical force to



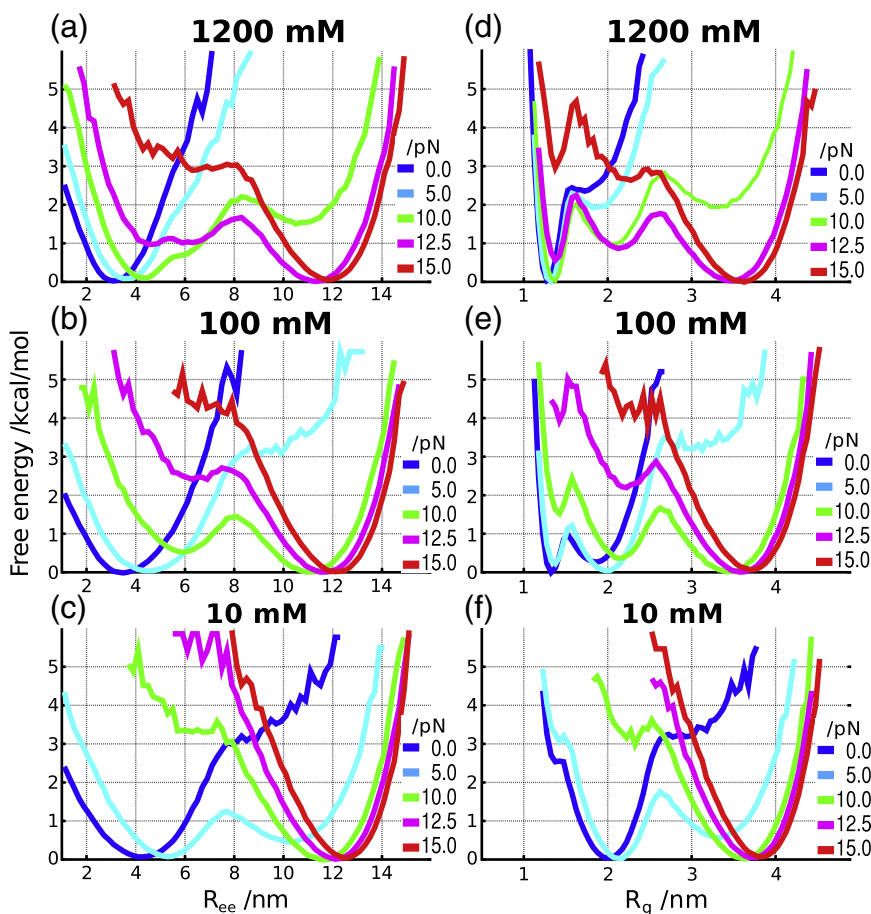
**Fig. 4.** (a–c) Representative snapshots corresponding to the three distinct states from simulations. (d) Diagram of states in the  $[C, f]$  plane obtained using extension  $R_{ee}$  as the order parameter. (e) Same as d, except  $R_g$  is used to distinguish the states. The three crosses in d and e correspond to conditions from which the snapshots (a–c) are sampled. The scale for  $R_{ee}$  and  $R_g$  are given on the right.

rupture the compact structures increases linearly as a function of logarithm of salt concentration (Fig. 4d; boundary between red and green regions). At low forces ( $f < 2.5$  pN), the diagram of states based on  $R_{ee}$  shows that the extension is relatively small as  $C$  changes from a low to a high value. From this finding, one might be tempted to infer that the PK is always folded, which is not physical especially at low ( $C \approx 10$  mM) ion concentrations. In contrast, Fig. 4e shows that below 5 pN, there is a transition from compact structures ( $R_g \approx 1.3$  nm in the blue region) at high  $C$  to an intermediate state ( $R_g > 2.2$  nm in the green region) at  $C \approx 10$  mM. The differences between the diagrams of states in the  $[C, f]$  plane using  $R_{ee}$  and  $R_g$  as order parameters are more vividly illustrated in terms of the free energy profiles  $G(R_\alpha) = -k_B T \log(P(R_\alpha))$  where  $R_\alpha$  is  $R_{ee}$  or  $R_g$  (Fig. 5). The profiles  $G(R_{ee})$ , at three values of  $C$  and different  $f$  values, show two minima at most. At  $f = 0$ , there is only one discernible minimum at  $R_{ee} \approx 4.2$  nm at  $C = 10$  mM. The minimum moves to  $R_{ee} \approx 3$  nm at  $C = 1200$  mM corresponding to a folded PK. At  $f = 5$  pN, there are two minima at  $C = 10$  mM corresponding to a compact

structure and a stretched conformation (see the cyan profile in Fig. 5a). As  $f$  exceeds 5 pN, there is essentially one minimum whose position increases as  $f$  increases. In the force regime ( $f > 5$  pN), only the E state is visible at all  $C$ .

In order to compare the thermal free energy profiles generated at  $C = 500$  mM (Fig. 2), we calculated the  $G(R_g)$  and  $G(R_{ee})$  at different forces with  $C$  fixed at 500 mM. Comparison of Fig. 2 and S3 shows that at  $f = 0$ , the free energy profiles are similar. At  $f \neq 0$ , the folded state is destabilized, and at  $f = 15$  pN, the unfolded state is more stable than the folded PK.

A much richer diagram of states emerges when the  $G(R_g) = -k_B T \log P(R_g)$  is examined. The  $G(R_g)$  profile at  $C = 10$  mM is similar to  $G(R_{ee})$ . However, at higher concentrations, there is clear signature of three states (especially at  $f = 12.5$  pN) corresponding to the folded PK, an intermediate state, and the E state. The free energy profiles show that under force, there are minimally three states, which are F, I, and E. Because  $R_{ee}$  cannot capture the complexity of the states populated as  $C$  and  $f$  are varied, it is

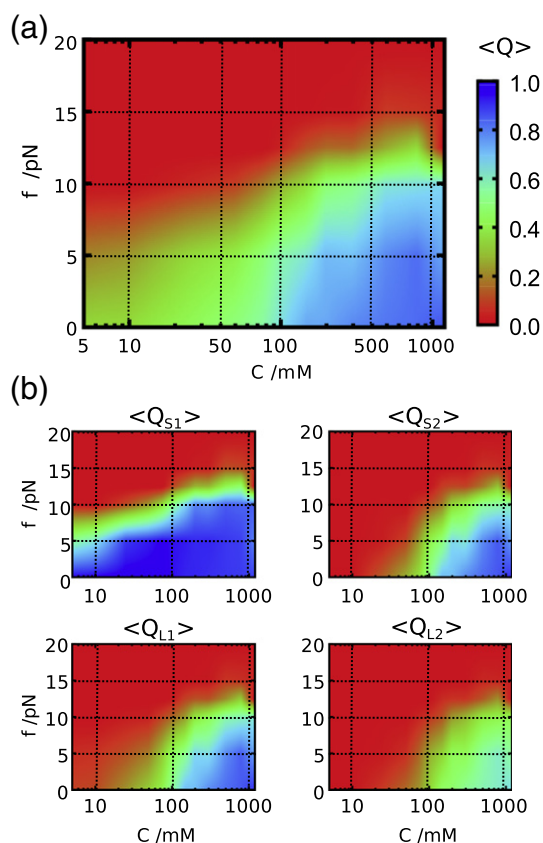


**Fig. 5.** Free energy profiles as a function of  $R_{ee}$  (a–c) and  $R_g$  (d–f) at three different salt concentrations and a range of forces. The locations of the minima change as  $C$  and  $f$  vary, indicating transitions between distinct states of the BWYV PK.

important to consider  $R_g$  as well to fully capture the phase diagram of the PK. We also computed the free energy profiles as a function of RMSD. In terms of the number and relative positions of basins, the profiles based on RMSD are qualitatively similar to one of  $R_g$  (Fig. S4).

### Formation of stems and loops

In order to highlight the structural changes that occur as  $C$  and  $f$  are varied, we used,  $Q$ , the fraction of native HB interactions as an order parameter. The  $[C, f]$  phase diagram (Fig. 6a) calculated using the average  $Q$  is similar to the  $R_g$ -phase diagram (Fig. 4e), indicating the presence of three states (Fig. 6a). Using  $Q$ , we can also quantitatively assess the contributions from different structural elements to the stability of the PK and correctly infer the order in which the structural elements of the PK rupture as  $f$  is increased. In order to determine the structural details of each state, we calculated the individual  $Q$ s for the two stems ( $Q_{S1}$ ,  $Q_{S2}$ ) and the two loops ( $Q_{L1}$ ,  $Q_{L2}$ )



**Fig. 6.**  $[C, f]$  phase diagram using the fraction of native contacts as the order parameter. (a) The diagram of states determined using an average of the total  $Q$  for the PK. The scale is given on the right. (b) Decomposition of  $Q$  into stems and loops: S1, stem 1; S2, stem 2; L1, loop 1; L2, loop 2.

(Fig. 6b). The dependence of  $Q_{S1}$  as a function of  $C$  and  $f$  shows that Stem 1 (S1) is extremely stable and remains intact at all salt concentrations, rupturing only at  $f \approx 10$  pN (Fig. 6b, upper left panel). In contrast, the upper right panel in Fig. 6b shows that Stem 2 (S2) is only stably folded above a moderate salt concentration ( $C \approx 80$  mM) and low  $f$ . The stability difference between S1 and S2 can be explained by the number of canonical G-C base pairs; S1 has five G-C base pairs, whereas only three such pairs are in S2. Consequently, S1 cannot be destabilized even at low  $C$ , but its rupture to produce extended states requires the use of mechanical force. Above  $C > 100$  mM, the fraction of contacts associated with S2 and the two loops ( $Q_{S2}$ ,  $Q_{L1}$  and  $Q_{L2}$ ) simultaneously increase. All the interactions are, however, still not completely formed ( $Q \approx 0.8$ ) even at the highest salt concentration,  $C = 1200$  mM. In particular, the contacts involving L2,  $Q_{L2} \approx 0.6$ , implying that tertiary interactions are only marginally stable at  $T = 50$  °C.

The results in Fig. 6 provide a structural explanation of the transitions that take place as  $C$  and  $f$  are changed. (1) In the high force regime, the two stems are fully ruptured and native interactions are absent creating the E state. (2) Below  $C \approx 100$  mM and  $f \lesssim 10$  pN (left bottom on the  $[C, f]$  plane), only S1 is intact, and thus, a hairpin conformation is dominant. This is the intermediate state, I2, identified by Soto *et al.* [31] who found that S1 remains stable even at salt concentration as less as 20 mM NaCl [31]. (3) Above  $C \gtrsim 100$  mM and  $f \lesssim 10$  pN (right bottom), both S1 and S2 stems are formed, and the tertiary interactions involving the two loops are at least partly formed, ensuring that the topology of the PK is native like. At  $f = 0$  and temperature as a perturbation, it has been shown that another intermediate state, I1, exists between I2 and folded states [30,31]. The I1 state may correspond to conformations in which two stems are fully folded with the entire topology of the native conformation intact, but tertiary interactions between stems and loops are incomplete. Although we have accounted for the presence of such a state in our simulations (see Fig. 2), from here on, we do not distinguish between I1 and the completely folded state in the phase diagram since the increases in  $Q_{S2}$ ,  $Q_{L1}$  and  $Q_{L2}$  on the  $[C, f]$  plane almost overlap. Therefore, we classify the right bottom region in the  $[C, f]$  phase diagram as (I1 + F) state.

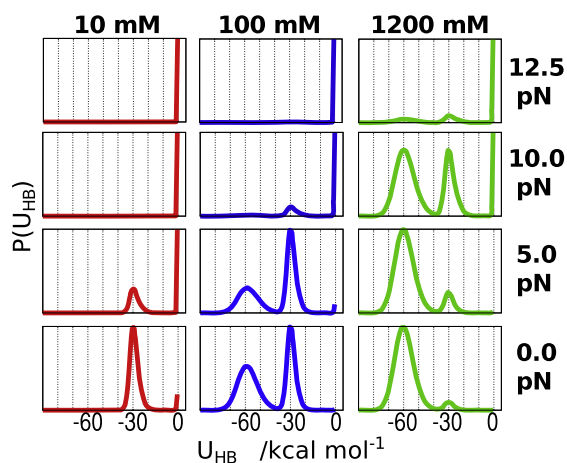
### Population analysis of the secondary and tertiary interactions

The  $[C, f]$  phase diagrams provide a thermodynamic basis for predicting the structural changes that occur as  $f$  and  $C$  are varied. However, they capture only the average property of the ensemble within each state without the benefit of providing a molecular picture of the distinct states, which can

only be described using simulations done at force values close to those used in LOT experiments. Therefore, we investigated the distribution of interactions to ascertain the factors that stabilize the three states as  $C$  and  $f$  are varied. Our analysis is based on the HB energy,  $U_{\text{HB}}$ . The energy function for HB captures the formation of base pairs and loop–stem tertiary interactions, taking into account both distance and angular positions of the donor and the acceptor [37].

Figure 7 shows that the probability densities of the total HB energy have three peaks at  $U_{\text{HB}} \approx 0$ ,  $-30$ , and  $-60$  kcal/mol. In the high-force regime ( $f > 12.5$  pN), there is only one population at all  $C$  around  $U_{\text{HB}} \approx 0$ , which obviously corresponds to the fully extended state. The total HB energy can be decomposed into contributions arising from four distinct structural motifs in the PK (Fig. S5). The peak at  $U_{\text{HB}} \approx -30$  kcal/mol (Fig. 7) arises due to the formation of S1 (compare Fig. 7 and S5A). The broad peak at  $U_{\text{HB}} \approx -60$  kcal/mol (Fig. 7A) is due to the sum of contributions from S2 and the tertiary interactions.

Parsing the  $U_{\text{HB}}$  due to contributions from interactions among S1, S2, L1, and L2 produces a picture of thermodynamic ordering as  $C$  ( $f$ ) is increased (decreased) from low (high) value to high (low) value. Low  $C$  and high  $f$  correspond to the top left region in the phase diagrams (Figs. 4 and 6). In all conditions, S1 is the first to form as indicated by the presence of distinct peaks at  $C > 100$  mM and  $f \lesssim 10$  pN in Fig. S5A. Under more favorable conditions ( $f < 10$  pN;  $C \approx 300$  mM or greater), S2 and tertiary interactions involving L1 are established (Fig. S5B and C). Only at the highest value of  $C$  and  $f \lesssim 5$  pN, tertiary interactions involving L2 emerge. Interestingly, the order of formation of the various structural elements en route to the formation of their



**Fig. 7.** Probability distributions of the total HB energy as a function of  $C$  and  $f$ , which are labeled. The distinct peaks are fingerprints of the states that are populated at a specified  $C$  and  $f$ .

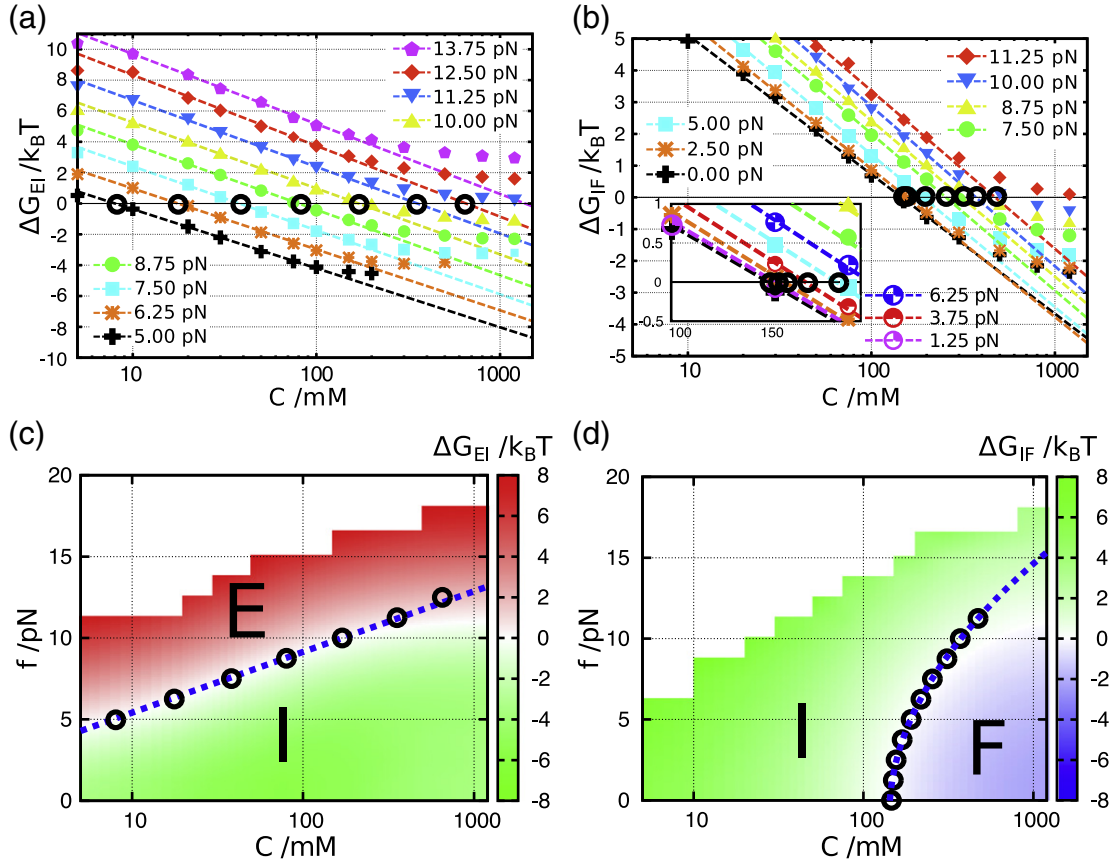
folded state follows the stabilities of the individual elements with the most stable motif forming first. The PK tertiary interactions are consolidated only after the assembly of the stable structural elements. This finding follows from our earlier work establishing that the hierarchical assembly of RNA PKs is determined by the stabilities of individual structural elements [38].

We did not find any condition in which S1 and S2 are completely folded, but there are no tertiary interactions,  $U_{\text{HB}}^{\text{t}} \approx 0$  (i.e., isolated I1 state). Our simulations show that the tertiary interactions contribute to the loop energy only after the stems are formed. Thus, the actual population is distributed between I1 and F, and the tertiary interactions are only marginally stable in the conditions examined here. Soto *et al.* suggested that diffuse  $\text{Mg}^{2+}$  ions play an important role in stabilizing such tertiary interactions [31]. Because  $\text{Mg}^{2+}$  is not considered here, we cannot determine the precise interactions stabilizing the I1 state, especially at  $f \neq 0$ . In the discussion hereafter, for simplicity, we refer to (I1 + F) state as F.

### Critical rupture force and preferential ion interaction coefficient

In order to delineate the phase boundaries quantitatively, we calculated the free energy differences between the three major states in the  $[C, f]$  plane using  $\Delta G_{\text{EI}}(C, f) = -k_{\text{B}}T \log \frac{P(\text{I}; C, f)}{P(\text{E}; C, f)}$ , where the classification of the states, E, I (=I2), or F, is based on the HB interaction energies of stems,  $U_{\text{HB}}^{\text{S1}}$  and  $U_{\text{HB}}^{\text{S2}}$  (Fig. 8). A similar equation is used to calculate  $\Delta G_{\text{IF}}$ . Based on the distribution of  $U_{\text{HB}}$ , we determined the threshold values for S1 formation as  $U_{\text{HB}}^{\text{S1}} < -15$  kcal/mol (Fig. S5A). Similarly, S2 formation is deemed to occur if  $U_{\text{HB}}^{\text{S2}} < -10$  kcal/mol (Fig. S5B). We classified each structure depending on whether both stems are formed (F) with both  $U_{\text{HB}}^{\text{S1}} < -15$  kcal/mol and  $U_{\text{HB}}^{\text{S2}} < -10$  kcal/mol, only S1 is formed [I (=I2)], or fully extended (E).

The classification of the diagram of states based on the calculation of  $\Delta G_{\text{EI}}$  and  $\Delta G_{\text{IF}}$  is consistent with the  $R_{\text{g}}$  and  $Q$  phase diagrams (Fig. 8c and d). At all values of  $f$ ,  $\Delta G$  depends linearly on  $\log C$  over a broad range of  $C$  as shown in Fig. 8a and b. The midpoints of salt concentration,  $C_{\text{ms}}$ , at each  $f$ , determined using  $\Delta G_{\text{EI}}(C_{\text{m}}^{\text{EI}}, f) = 0$  and  $\Delta G_{\text{IF}}(C_{\text{m}}^{\text{IF}}, f) = 0$ , leads to  $f_{\text{c}}^{\text{EI}}(C_{\text{m}}^{\text{EI}})$  and  $f_{\text{c}}^{\text{IF}}(C_{\text{m}}^{\text{IF}})$ , respectively, for the E $\rightleftharpoons$ I and I $\rightleftharpoons$ F transitions. The forces  $f_{\text{c}}^{\text{EI}}(C_{\text{m}}^{\text{EI}})$  and  $f_{\text{c}}^{\text{IF}}(C_{\text{m}}^{\text{IF}})$  are the critical forces needed to rupture the I and F states, respectively. The locus of points  $f_{\text{c}}^{\text{EI}}(C_{\text{m}})$  and  $f_{\text{c}}^{\text{IF}}(C_{\text{m}})$  are shown as circles in Fig. 8c and d, respectively. It is clear that  $f_{\text{c}}^{\text{EI}}(C_{\text{m}}^{\text{EI}})$  is linear in  $\log C_{\text{m}}^{\text{EI}}$  along the phase boundary. In contrast, the dependence of  $f_{\text{c}}^{\text{IF}}(C_{\text{m}}^{\text{IF}})$  on  $\log C_{\text{m}}^{\text{IF}}$  is nonlinear. In what follows, we provide a theoretical interpretation of these results, which automatically shows that the



**Fig. 8.** Free energy differences between E and I, and I and F calculated from REMD simulations. The definition of states, E, I, and F, is based on the HB interaction energies associated with each structural element (see main text for details). The I state is analogous to I2 in Fig. 3. (a and b) Salt concentration dependences of  $\Delta G$  is plotted for different force values (symbols are explained in a and b, respectively). (c)  $\Delta G_{EI}$  is shown on the  $[C, f]$  plane, and phase boundary is quantitatively described (white zone between red and green regions). The blue dashed line is the theoretical prediction where  $f_c \propto \log C_m$  [Eq. (4)]. Circles ( $\circ$ ) indicate conditions with  $\Delta G_{EI}=0$  extracted from linear dependence of  $\Delta G$  on  $\log C$  in (a). It should be noted that the E state is under tension and hence is different from the unfolded state upon thermal melting at  $f=0$ . (d) Same as (c), except the results describe I=F transition. Here, the critical force describing the phase boundary is a nonlinear function of  $\log C_m$  [Eq. (6)].

difference in preferential ion interaction coefficients between states can be directly measured in LOT experiments [39–43].

The salt concentration dependence of RNA stability can be determined using the preferential interaction coefficient, defined as  $\Gamma = \left(\frac{\partial C}{\partial C_{\text{RNA}}}\right)_{\mu}$ , where  $C_{\text{RNA}}$  is the concentration of RNA, and the chemical potential of the ions,  $\mu$ , is a constant [35,44]. The free energy difference,  $\Delta G_{\alpha\beta} (= G_{\beta} - G_{\alpha})$ , between two states  $\alpha$  and  $\beta$  (such as E and I or I and F) can be expressed as:

$$\Delta G_{\alpha\beta}(C) = \Delta G_{\alpha\beta}(C_0) - 2k_B T \Delta \Gamma_{\alpha\beta} \log \frac{C}{C_0}, \quad (1)$$

where  $C_0$  is an arbitrary reference value of the salt concentration [45]. Note that we consider only 1:1

monovalent salt such as KCl or NaCl for which ion activity can be related to salt concentration  $C$ . The factor of 2 in Eq. (1) arises from charge neutrality. The difference,  $\Delta \Gamma_{\alpha\beta} (= \Gamma_{\beta} - \Gamma_{\alpha})$ , is interpreted as an effective number of bound or excluded ions when the RNA molecule changes its conformation between the two states [35,44]. The free energy change upon application of an external force  $f$  is  $\Delta G_{\alpha\beta}(f) = \Delta G_{\alpha\beta}(0) + \Delta R_{ee}^{\alpha\beta} \cdot f$  where  $\Delta R_{ee}^{\alpha\beta} = R_{ee}^{\alpha} - R_{ee}^{\beta}$  [29]. Thus,

$$\Delta G_{\alpha\beta}(C, f) = \Delta G_{\alpha\beta}(C_0, 0) - 2k_B T \Delta \Gamma_{\alpha\beta} \log \frac{C}{C_0} + \Delta R_{ee}^{\alpha\beta} \cdot f. \quad (2)$$

In the  $[C, f]$  phase diagram,  $\Delta G_{\alpha\beta}$  is 0 along the phase boundaries. Since the reference salt concentration  $C_0$  is arbitrary, we determined its value using



$\Delta G_{\alpha\beta}(C_0, f=0)=0$ . Thus,  $C_0=C_{m, f=0}^{\alpha\beta}$  is the salt concentration at the midpoint of the transition at zero force. The determination of  $\Delta\Gamma_{\alpha\beta}$  using this procedure from single-molecule pulling data has been described in several key papers [39–42]. By adopting the procedure outlined in these studies and with our choice of  $C_0$ , we rearrange Eq. (2) to obtain the phase boundary at  $f \neq 0$ ,

$$0 = -2k_B T \Delta\Gamma_{\alpha\beta} \log \frac{C_m^{\alpha\beta}}{C_{m, f=0}^{\alpha\beta}} + \Delta R_{ee}^{\alpha\beta} \cdot f_c^{\alpha\beta}, \quad (3)$$

where  $C_m^{\alpha\beta}$  is the midpoint of salt concentration, and  $f_c^{\alpha\beta}$  is the critical force associated with the transition between states  $\alpha$  and  $\beta$ . Measurement of  $f_c^{\alpha\beta}$  using data from single-molecule pulling experiments has been used to obtain  $\Delta\Gamma_{\alpha\beta}$  for few systems that exhibit two-state transitions [39–42]. Here, we have adopted it for a PK exhibiting more complex unfolding transitions. The connection between the relation in Eq. (3), derived elsewhere, to Clausius–Clapeyron equation was established recently by Saleh and coworkers [41].

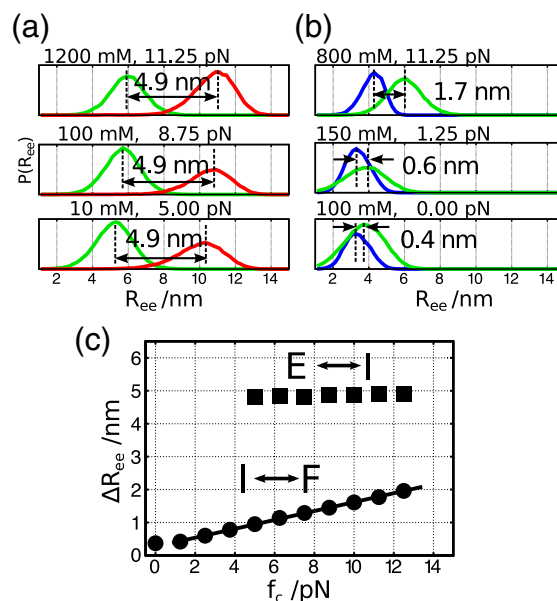
It follows from Eq. (3) that the critical force,

$$f_c^{\alpha\beta} = \frac{2k_B T \Delta\Gamma_{\alpha\beta}}{\Delta R_{ee}^{\alpha\beta}} \log \frac{C_m^{\alpha\beta}}{C_{m, f=0}^{\alpha\beta}}, \quad (4)$$

leading to the prediction that there should be a linear dependence between  $f_c^{\alpha\beta}$  and the logarithm of the midpoint of the salt concentration if  $\Delta R_{ee}^{\alpha\beta}$  is a constant value along the phase boundary. In accord with the prediction in Eq. (4), we find that  $f_c^{EI}$  varies linearly with  $\log \frac{C_m^{EI}}{C_{m, f=0}^{EI}}$  along the phase boundary separating E and I (Fig. 8c), where  $\langle \Delta R_{ee}^{EI} \rangle \approx 4.9$  nm over a broad range of  $f_c$  (Fig. 9a and c).

The observed nonlinearity of the phase boundary separating I and F over the entire concentration range (Fig. 8d) arises because  $\Delta R_{ee}^{IF}$  is not a constant but varies linearly with  $f_c^{IF}$  (Fig. 9b and c). In giving from  $F \leftrightarrow I$ , there is only a rupture of few tertiary interactions and unfolding of the relatively unstable S2. Because this transition is not cooperative (interactions break in a sequential manner), we believe that a linear behavior over a limited force range is not unreasonable. In contrast, the unfolding of S1 is cooperative, like some hairpins studied using pulling experiments, in the  $E \leftrightarrow I$  transition, resulting in the constant value for  $\Delta R_{ee}$  over the force range probed. Thus, using  $\Delta R_{ee}^{\alpha\beta} = a f_c^{\alpha\beta} + b$  and Eq. (4), we find that  $f_c^{\alpha\beta}$  satisfies,

$$f_c^{\alpha\beta} = \frac{2k_B T \Delta\Gamma_{\alpha\beta}}{a f_c^{\alpha\beta} + b} \log \frac{C_m^{\alpha\beta}}{C_{m, f=0}^{\alpha\beta}}. \quad (5)$$



**Fig. 9.** (a and b) Probability distributions of the extensions for states E and I (a), and I and F (b). All the conditions shown here are near the phase boundaries in the  $[C, f]$  plane ( $\Delta G_{EI}$  and  $\Delta G_{IF}$  between the two states are close to 0). Distances between peaks of the two distributions are shown. In the  $E \rightleftharpoons I$  transition (a), the mean distances are almost constant around 4.9 nm. On the other hand, in the  $I \rightleftharpoons F$  transition (b), the mean distance becomes smaller as the mechanical force weakens. (c) Dependence of  $\Delta R_{ee}$  on  $f_c$  along the phase boundaries. In the  $I \rightleftharpoons F$  transition, the dependence can be fit using  $\Delta R_{ee}^{IF} = a f_c^{IF} + b$ , where  $a = 0.14$  nm/pN and  $b = 0.27$  nm (solid line).

Note that if  $a$  is zero, then Eq. (5) reduces to Eq. (4) with  $b = \Delta R_{ee}^{\alpha\beta} = \text{const}$ . Solving Eq. (5), the nonlinear dependence of  $f_c^{IF}$  is expressed as,

$$f_c^{IF} = \sqrt{\frac{2k_B T \Delta\Gamma_{IF}}{a} \log \frac{C_m^{IF}}{C_{m, f=0}^{IF}} + \frac{b^2}{4a^2}} - \frac{b}{2a}. \quad (6)$$

The simulation data can be quantitatively fit using Eq. (6) (Fig. 8d). In general, we expect  $\Delta R_{ee}^{\alpha\beta}$  depends on  $f_c^{\alpha\beta}$ , and hence,  $f_c^{\alpha\beta} \sim \sqrt{\log C_m^{\alpha\beta}}$ . It is worth noting that the estimation of  $\Delta\Gamma_{\alpha\beta}$  requires only the knowledge of how critical force varies with salt concentrations ( $\Delta G = 0$ ). For any given salt concentration, the best statistics is obtained at the critical force because at  $f_c$ , multiple transitions between the two states can be observed.

From the coefficients in the dependence of  $f_c$  on  $\log C_m$ , we can estimate  $\Delta\Gamma$  which provides a quantitative estimation of the extent of ion–RNA interactions [45]. For the  $E \rightleftharpoons I$  transition,  $\langle \Delta R_{ee} \rangle \approx 4.9$  nm and the slope of the linear function is 1.7 pN, which leads to  $\Delta\Gamma_{EI} = 0.96$  (Fig. 8c). This indicates that upon the

conformational change from unfolded to the intermediate state, one ion pair enters the atmosphere of the PK. For the transition between I and F, fitting the dependence of  $\Delta R_{\text{be}}^{\text{IF}}$  on  $r_c^{\text{IF}}$  with  $a=0.14$  nm/pN and  $b=0.27$  nm (Fig. 9c), we estimate  $\Delta\Gamma_{\text{IF}}=1.8$  using Eq. (6) (Fig. 8d). Consequently, the total number of excess cations effectively bound to folded RNA is expected to be around 2.8, a value that is in rough accord with the experimental estimate of 2.2 [30]. More importantly,  $\Delta\Gamma_{\text{qB}}$  can be measured using LOT and magnetic tweezer experiments [39–43], thus expanding the scope of such experiments to measure ion–RNA interactions. A recent illustration of the efficacy of single-molecule experiments is the study by Jacobson and Saleh who quantified  $\Delta\Gamma$  from force measurements for an RNA hairpin [43].

Our analysis is based on the assumption that  $\Delta\Gamma$  is a constant independent of the salt concentration. However, it is known experimentally that  $\Delta\Gamma$  deviates from a constant value at high salt concentration [41,43,46]. In Fig. 8c and d, theoretical lines (blue dotted) show such a deviation from  $\Delta G=0$  of the simulation data (white region) at high salt concentration ( $C \geq 500$  mM). Therefore, we believe that our theoretical analysis is most accurate for  $C \leq 500$  mM. Our coarse-grained simulation reflects the nonlinearity of  $\Delta\Gamma$  observed in previous experiments. Understanding the origin of the nonlinearity requires a microscopic theory that should take into account the effects of ion–ion correlations. For complex architectures such as PK or ribozymes, this does not appear straightforward.

## Model and Methods

### The three-interaction-site (TIS) model

We employed a variant of the TIS model, a coarse-grained model first introduced by Hyeon and Thirumalai for simulating nucleic acids [34]. The TIS model has been previously used to make several quantitative predictions for RNA molecules, ranging from hairpins to ribozymes with particular focus on folding and response to  $f$  [34,38,47–49]. More recently, we have incorporated the consequences of counter ion condensation into the TIS model, allowing us to predict thermodynamic properties of RNA hairpins and PK that are in remarkable agreement with experiments [37]. In the TIS model, each nucleotide is represented by three coarse-grained spherical beads corresponding to phosphate (P), ribose sugar (S), and a base (B). Briefly, the effective potential energy (for details, see Ref. [37]) of a given RNA conformation is  $U_{\text{TIS}} = U_{\text{L}} + U_{\text{EV}} + U_{\text{ST}} + U_{\text{HB}} + U_{\text{EL}}$ , where  $U_{\text{L}}$  accounts for chain connectivity and angular rotation of the polynucleic acids,  $U_{\text{EV}}$  accounts for excluded volume interactions of each chemical group, and  $U_{\text{ST}}$  and  $U_{\text{HB}}$  are the base-stacking and HB interactions,

respectively. Finally, the term  $U_{\text{EL}}$  corresponds to electrostatic interactions between phosphate groups.

The determination of the parameters for use in simulations of the coarse-grained model of RNA was described in detail in a previous publication [37]. Briefly, we determined the stacking interactions by a learning procedure, which amounts to reproducing the measured melting temperature of dimers. We showed that a single choice of model parameters (stacking interactions, the Debye–Hückel potential for electrostatic interactions, and structure-specific choice for HBs) is sufficient to obtain detailed agreements with available experimental data for three different RNA molecules. Thus, the parameters are transferable and we use them, verbatim, in the present simulations. The determination of HB interaction is predicated on the structure. Most of these are taken from the A-form RNA structure and hence can be readily used for any RNA molecule. Only the interaction lengths and angles in hydrogen-bonding interaction of noncanonical base pairs are based on the specific PDB structure, which in our case is the BWYV pseudoknot in this study. We list all the BYWV-PK-specific values used in our simulations in the Supporting Information.

The repulsive electrostatic interactions between the phosphate groups is taken into account through

the Debye–Hückel theory,  $U_{\text{EL}} = \sum_{i,j} \frac{q_i^{*2} e^2}{4\pi\epsilon_0\epsilon(T)r_{ij}}$

$\exp(-\frac{r_{ij}}{\lambda_D})$ , where the Debye length is  $\lambda_D = \sqrt{\frac{\epsilon(T)k_B T}{4\pi e^2 I}}$ .

In the simulations, salt concentration can be varied by changing the ionic strength  $I = \sum q_n^2 \rho_n$ , where  $q_n$  is the charge of ion of type  $n$ , and  $\rho_n$  is its number density. Following our earlier study [37], we use an experimentally fitted function for the temperature-dependent dielectric constant  $\epsilon(T)$  [50]. The renormalized charge on the phosphate group is  $-q^* e$  ( $q^* < 1$ ). Because of the highly charged nature of the polyanion, counterions condense onto the RNA, thus effectively reducing the effective charge per phosphate. The extent of charge reduction can be calculated using the Oosawa–Manning theory. Charge renormalization, first used in the context of RNA folding by Heilman-Miller *et al.* [51] and more recently incorporated into CG models for RNA by us [37] and others [52,53], is needed to predict accurately the thermodynamics and kinetics of RNA folding [54]. The renormalized value of the charge on the P group is approximately given by  $-q^*(T)e = \frac{-be}{l_B(T)}$ , where the Bjerrum length is  $l_B(T) = \frac{e^2}{\epsilon(T)k_B T}$  and  $b$  is the mean distance between the charges on the phosphate groups [55]. The mean distance ( $b$ ) between charges on RNA is difficult to estimate (except for rod-like polyelectrolytes) because of its shape fluctuations. Therefore, it should be treated as an adjustable parameter. In our previous study, we showed that  $b = 4.4$  Å provides an excellent fit of the thermodynamics of RNA hairpins and the

MMTV pseudoknot [37]. We use the same value in the present study of BWYV as well. Thus, the force field used in this study is the same as in our previous study attesting to its transferability for simulating small RNA molecules.

The native structure of the BWYV PK is taken from the PDB (437D) [32]. The structure contains 28 nucleotides. We added an additional guanosine monophosphate to both the 5' and 3' terminus. Thus, the simulated PK has 30 nucleotides. The mechanical force is applied to the ribose sugars of both the 5' and 3' terminus (Fig. 1A).

### Simulation details

We performed Langevin dynamics simulations by solving the equation of motion,  $m\ddot{\mathbf{x}} = -\frac{\partial U_{\text{TIS}}}{\partial \mathbf{x}} - \gamma\dot{\mathbf{x}} + \mathbf{R}$ , where  $m$  is mass;  $\gamma$ , the friction coefficient, depends on the size of the particle type (P, S, or B); and  $\mathbf{R}$  is a Gaussian random force, which satisfies the fluctuation–dissipation relation. The  $[C, f]$  phase diagram is calculated at a somewhat elevated temperature,  $T = 50$  °C, in order to observe multiple folding–unfolding transitions when the monovalent salt concentration is varied over a wide range, from 5 to 1200 mM. The numerical integration is performed using the leap-frog algorithm with time step length  $\delta t_L = 0.05\tau$ , where  $\tau$  is the unit of time. We performed low friction Langevin dynamics simulations to enhance the rate of conformational sampling [56]. For each condition [salt concentration ( $C$ ) and mechanical force ( $f$ )], we performed 50 independent simulations for  $6 \times 10^8$  time steps and the last two-third of trajectories are used for data analyses. In addition, we also performed a set of simulations using REMD to confirm the validity of the results obtained by the low friction Langevin dynamics simulations [57]. In the REMD simulations, both  $C$  and  $f$  are treated as replica variables and are exchanged between 256 replicas, by covering  $C$  from 1 to 1200 mM and  $f$  from 0 to 20 pN. The replicas are distributed over  $16 \times 16$  grid. The combined set of simulations using different protocols assures us that the simulations are converged at all conditions.

Our simulations are done at constant forces under equilibrium conditions in which we observe multiple transitions between the various states. We have made comparison to LOT experiments, which are performed by pulling the RNA at a constant velocity. In general, if RNA is stretched at a constant velocity, then one has to be concerned about non-equilibrium effects. However, in optical tweezer experiments, the pulling speed is low enough so that the tension propagates uniformly throughout the RNA prior to initiation of unfolding [58]. Thus, the unfolding of PK studied using LOT experiments [19] occurs at equilibrium, justifying comparisons to our explicit equilibrium simulations.

### Analysis of simulation data

To analyze the structural transitions from which the phase diagrams are determined, we stored snapshots every  $10^4$  time steps from all the trajectories. We computed the radius of gyration ( $R_g$ ) and the end-to-end distance ( $R_{ee}$ ) using the stored snapshots. The fraction of native contacts,  $Q$ , is calculated by counting the number of HB interaction pairs. The assessment of HB formation is based on the instantaneous value of the HB interaction energy,  $U_{\text{HB}}$ . In our model, each HB interaction pair contributes up to  $nU_{\text{HB}}^0$  toward stability, where  $U_{\text{HB}}^0$  is  $-2.43$  kcal/mol, corresponding to the stability of one HB, and  $n$  represents number of HB associated in the interaction [37]. A cutoff value  $nU_{\text{HB}}^C$  is defined to pick out contacts that are established. We use a value,  $U_{\text{HB}}^C = -1.15$  kcal/mol to obtain the diagram using  $Q$  as an order parameter. Modest changes in  $U_{\text{HB}}^C$  do not affect the results qualitatively.

### Concluding remarks

Motivated by the relevance of force-induced transitions in PK to PRF, we have conducted extensive simulations of BWYV PK using a model of RNA, which predicts with near quantitative accuracy the thermodynamics at  $f = 0$ . The phase diagram in the  $[C, f]$  plane, which can be measured using single-molecule LOT pulling experiments, shows that the thermodynamics of rupture as  $f$  is increased, or the assembly of the PK as  $f$  is decreased, involves transitions between the extended, intermediate, and the folded states. The predicted linear relationship between  $f_c$  and  $\log C_m$  [Eq. (4)] or  $f_c \sim \sqrt{\log C_m}$  [Eq. (6)] shows that  $\Delta\Gamma$  can be directly measured in LOT experiments. The theoretical arguments leading to Eqs. (4) and (6) are general. Thus,  $f$  can be used as a perturbant to probe ion–RNA interactions through direct measurement of  $\Delta\Gamma$ .

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### Appendix A. Supplementary Data

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.jmb.2016.06.002>.

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**Abbreviations used:**

PK, pseudoknot; PRF, programmed ribosomal frameshift; BWYV, beet western yellow virus;  $f$ , mechanical force;  $C$ , salt concentration; TIS model, three-site-interaction model; LOT, laser optical tweezer; REMD, replica-exchange molecular dynamics; HB, hydrogen bond.

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